

Review

# Geometrical Aspects of the Optics of Linear Fresnel Concentrators: A Review

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**Abstract:** Linear Fresnel concentrators (LFR) are widely seen by the scientific community as one of the most promising systems for the production of solar energy via thermal plants or concentrated photovoltaics. The produced energy depends on the optical efficiency of the LFR, which is mainly dictated by the geometry of the plant. For this reason, the analysis of LFR geometry and its effects on optical behavior is a crucial step in the design and optimization of a Fresnel plant. The theoretical and computational tools used to model the optics of a LFR are fundamental in research on energy production. In this review, geometrical aspects of the optics of linear Fresnel concentrators are presented, with a detailed discussion of the parameters required to define the geometry of a plant and of the main optical concepts. After an overview of the literature on the subject, the main part of the review is dedicated to summarising useful formulas and outlining general procedures for optical simulations. These include (i) a ray-tracing procedure to simulate a mirror field, and (ii) a fast quasi-analytical method useful for optimizations and on-the-fly computations.

**Keywords:** Fresnel collector; geometry of collector; optics of LFR; shadowing; blocking; ray-tracing

## 1. Introduction

Linear Fresnel reflecting concentrators (LFR) are widely studied and tested for electricity generation. They are seen as one of the most promising tools for solar energy harvesting, due to their relatively low cost and simplicity. From the pioneering work of Francia [1], the concept of LFR attracted the attention of researchers worldwide: at first quite sporadically, with some detailed technical reports [2], works on the geometrical design [3–10], analysis of receivers [11,12] and studies of prototypes [13]; then with a revamped interest in the new millennium, with the proposal of the Compact LFR (CLFR) geometry [14], followed by general analyses and other configuration proposals as [15–24]. For reviews, see [25–27].

In an LFR, a solar field composed of relatively narrow mirror strips, each rotating around an axis at ground level, tracks the sun and sends the reflected radiation to an elevated fixed receiver. The receiver is usually a thermal absorber where a heat transfer fluid is heated in order to feed a power block, but it can also be a hybrid PV/T absorber [28,29] or a (suitably refrigerated) narrow strip of PV modules [30].

Beside the already-mentioned works, a large number of papers devoted to specific aspects or methods can be found in the literature: proposals, analyses and simulations of receiver models, such as trapezoidal cavities [31–37], CPC-enclosed single tubes [38–40], or unusual configurations as a triangular cavity [41] or receivers devised for CPV [42]; CFD studies of heat transfer [43–46]; proposals and analyses of different heat transfer mechanisms, such as the use of circulating molten salts [47–50], direct steam generation [51–54] or even nanofluids [55]; technical and economical considerations and optimizations [56–62]; different applications of LFRs, such as desalination [63], roof absorption chillers [64], Solar-GAX cycle [65], building-integrated PV/T [66] or integration with fuel cells [67,68]; new designs, such as small-scale LFRs [69–74], elevated LFRs [75], beam-down configurations [76], and additional movements to reduce end losses [77]; control systems and algorithms [78–80]; manufacture aspects [81]; energy storage materials [82]; studies for specific locations, such as Sicily [83] or Cyprus [84]; comparisons between LFR and parabolic



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troughs (PTC) [85–92], sometimes including tower systems in the comparison [93] and analyses, descriptions and experimental results on built prototypes: the Solarmundo concentrator [94], the PSA facility in Spain [95–97], the AREVA demonstration plant in Kimberlina (CA, USA) [98], the molten-salt Partanna plant [99], and other experimental plants [100–102].

The comparison between LFR and parabolic trough, in particular, evidenced that PTC clearly has a higher optical efficiency that leads to higher energy production. The estimation of the overall efficiency reduction is found to be in the range of 23–40% in [86] for a single tube receiver, the variation being due to the thermal efficiency of the receiver (which can be evacuated or not); in [87] a lower difference (around 20%) is found. In [88], a reduction between 23% and 32% is given. In [91] a reduction between 15% and 30% is given, depending on the location and working conditions. As a general conclusion, an efficiency reduction around 20–30% of the obtained energy for a LFR plant with the same collector total area of a PTC can be expected; such a reduction must be weighed against the strong simplifications of the structures involved (narrow, almost flat mirror strips vs. large curved collectors), of the movement system (rotation of narrow mirrors around their central axis vs. the moving of a large structure), the advantage of having a fixed receiver—especially for high-temperature applications—and the reduction in land occupation. Cost estimations claim that the specific cost of an LFR mirror field can be only slightly above half of the cost of a PTC (52% according to [103]).

However, the aspect that attracted the largest amount of research is probably the system optics. The optical efficiency in any real-life condition is crucial information to assess the energy yield obtainable from an LFR, which can change considerably with the plant design and properties. For this reason, the study of optical properties attracted considerable attention from researchers in the field of solar energy. In the literature one can find ray-tracing analyses [104], as in [105–108] or analytical methods with different degrees of approximation [109–112]; theoretical design principles have been proposed [113,114]; shading and blocking effects have been specifically studied [115–117]. Other more specific analyses can be found, such as the detailed concentration process for different designs [118], a comparison between cylindrical and parabolic mirrors [119], analysis of the mirror curvature [120], effects of mechanical deformations [121], the analysis of the flux distribution on the target [122], the aiming strategy [123], high-Zenith analysis [124], end losses computation [125], analysis of primary mirrors [126], of the spacing gap between mirrors [127] and analysis of particular optical designs [128–131]. The Incidence Angle Modifier (IAM)—depending on two incidence angles for LFRs—was analyzed, e.g., in [132–134]. A review can be found in [27].

Another field strictly related to optical simulation is the optical optimization of the solar field [135–141], or of more specific aspects such as the focal lengths of the primary mirrors [142,143] or the receiver properties [144,145]. These studies underline the importance of a good geometrical design, in order to reduce shadowing and blocking, to reduce spillage by correctly tuning the focal lengths of the mirrors and to reduce the impact of mirror defects. Such optimizations can produce an efficiency increase of 10% and more w.r.t. a “naive” configuration (adjacent equal mirrors) [135]: this is a significant improvement on the amount of collected energy.

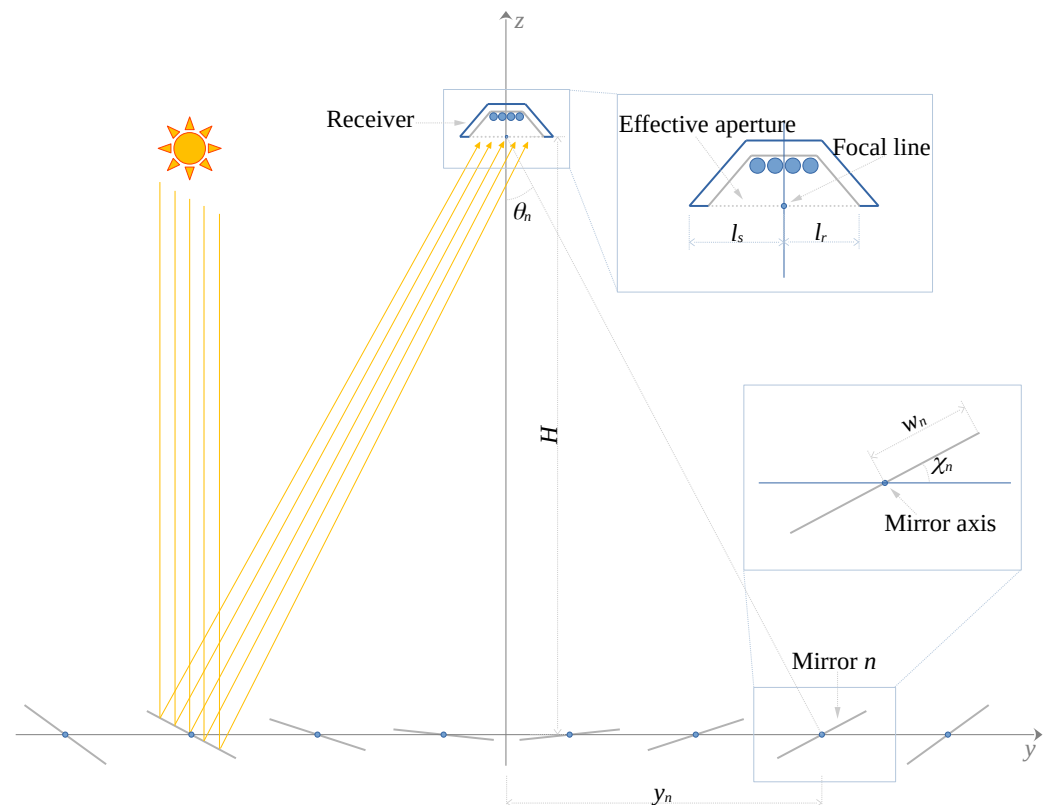
This review is dedicated to the analysis of the geometrical aspects of the optics of a Linear Fresnel plant. LFRs show a rather complex optical behavior, mainly dictated by geometry. LFRs are subject to shadowing and blocking, and their geometry can depend—in principle—on a large number of parameters (positions, widths and focal lengths of each mirror strip; height of the receiver; size and shape of the effective target). However, LFRs are not so complex as to require dedicated software—unlike, for instance, tower systems with large heliostat fields—and their behavior can be simulated with rather simple instruments. This review is aimed at supplying a self-contained “toolbox” of concepts, techniques, formulas and investigation methods in order to build a realistic description of the optical behavior of a generic LFR, for implementing simulations and, possibly, optimizations.

Section 2 is dedicated to the general description of a plant, and to the identification of the parameters that define its geometry, its orientation and the tracking angles of each primary mirror. Section 3 illustrates a method to build a ray-tracing analysis of a solar field, following rays up to the effective opening of the receiver. However, in Section 4 it will be shown that ray-tracing is required only when a detailed distribution of the radiation on the receiver is needed; if only the overall efficiency is concerned, an accurate and very fast quasi-analytical method can be used, with a computational time that is a few orders of magnitude lower than a ray-tracing simulation, and with the same accuracy. Such a quasi-analytical method is especially recommended for optimization tasks when a large number of different configurations must be simulated. Section 5 compares the accuracy and the computational cost of ray-tracing and quasi-analytical methods. In Section 6, the computation of end losses will be discussed. Section 7 shows examples of the application of the illustrated methods to two real-life case studies.

## 2. System Description

A fixed receiver linear Fresnel concentrator (LFR) is composed of two main elements: (i) a linear, elevated, fixed receiver, suspended above and (ii) a mirror field, formed by a set of long linear strips of mirrors, each of them rotating around a fixed axis in order to reflect the radiation towards the receiver. The axes of rotation are placed near the ground level. The primary mirrors are usually—but not necessarily—slightly bent, in order to concentrate the reflected radiation beam. A schematic representation of the system in the transversal section is shown in Figure 1.

In the following, all the angles appearing in formulas are assumed to be measured in radians. Other units are arbitrary, as long as they are consistent.



**Figure 1.** Representation of the transversal section of a LFR, with the notation introduced in the main text.

### 2.1. A Semantic Clarification

In an LFR as described above, despite the name, the mirror field is *not* a true Fresnel mirror. In a true Fresnel mirror, the mirror segments are mounted on a common frame, and each segment reproduces (or approximates very well) the parabolic surface that would concentrate the radiation towards the common focus. The frame is moved as a whole to track the sun, together with the receiver. The system is conceptually equivalent to a parabolic concentrator: the focusing is optimal—except for unavoidable manufacturing defects—and no shadowing or blocking of the radiation occurs if the system is correctly designed. In a fixed-receiver LFR, on the contrary, the mirror strips act more like heliostats: they almost never work in-focus, and they rotate on independent axes (but with a common rotation speed) to send the radiation towards the fixed receiver. Such a system is optically less efficient than a true Fresnel mirror, and can suffer from shadowing and blocking (discussed below in Sections 4.1.2 and 4.1.3); moreover, the fixed receiver doubles the effect of tracking errors. However, the structural simplicity of a LFR w.r.t. a true Fresnel mirror is widely seen as advantageous, on the whole.

LFRs are especially studied in view of their use as thermal concentrators, since the fixed receiver is a strong advantage, and the movements of the necessarily large structures involved are reduced to the rotation of—relatively narrow—strips of mirrors at ground level. True Fresnel mirrors have been proposed and tested for concentrating photovoltaic (CPV) [146–149]: the possibility of using flat mirror segments to approximate the parabolic profile helps to avoid hotspots on the target, which could be dangerous for a PV module. However, the use of LFRs for CPV has been proposed as well [30].

### 2.2. Geometrical Description of the Mirror Field

In this section, the basic structure of the LFR is presented, identifying the parameters needed to describe its geometry.

When tracking the sun, the mirrors will send the radiation toward a linear receiver. An accurate definition of the tracking can be given supposing that, in a perfect tracking condition, all the mirrors will send the central ray of the sun, reflected by the central point of the mirror, towards a common aiming line, which will be called (somewhat improperly) the *focal line*. Note that in principle the so-defined focal line can be different from the center of the physical receiver element, e.g., if a secondary concentrator is present and rays are aimed at the secondary opening. The structure of some possible receivers will be discussed later.

Define a right-handed  $xyz$  reference system—which will be called *local frame* in the following—with the origin lying in the plane containing the mirror axes (*mirror plane* in the following), the  $x$  direction parallel to the mirror axes, the  $y$  direction lying in the mirror plane, and the  $z$  direction orthogonal to both. Moreover, let the axis  $z$  cross the focal line of the plant (in a positive direction). The  $y$  and  $z$  axes are shown in Figure 1; the  $x$ -axis is orthogonal to the figure and pointing towards the reader. For brevity, the following “left” and “right” directions will be understood w.r.t. this figure, i.e., the left edge of an object (the receiver, a mirror) will be the edge with lower  $y$ , and the right edge will be the edge with higher  $y$ .

In order to better visualize the defined reference frame, consider an NS-oriented system with horizontal mirror axes: the  $x$ -axis will point toward the South, the  $y$ -axis will point eastward, and the  $z$ -axis will be vertical. The origin of the reference system will be on the mirror plane, exactly below the focal line. (Note that a system with the  $x$ -axis pointing North and the  $y$ -axis pointing West could be used to describe the same system).

In the following, the  $xyz$  system with  $x$ -axis towards South,  $y$ -axis towards East, and  $z$ -axis towards the Zenith will be called *standard frame*, or SEV (South-East-Vertical). A direction in this frame can be described using “standard” Zenith ( $\varphi_s$ ) and Azimuth ( $\Gamma_s$ ) angles:  $\varphi_s$  is simply the angle between the given direction and the vertical,  $\Gamma_s$  is the angle between the projection of the given direction on the horizontal plane and the

South direction, considered positive westwards. In the standard frame, the unitary vector indicating the direction given by  $(\varphi_s, \Gamma_s)$  is

$$(\sin \varphi_s \cos \Gamma_s, -\sin \varphi_s \sin \Gamma_s, \cos \varphi_s). \quad (1)$$

Note that different conventions can be used in the literature to define the Azimuth angle; e.g., another quite common convention places the zero of the Azimuth in North direction.

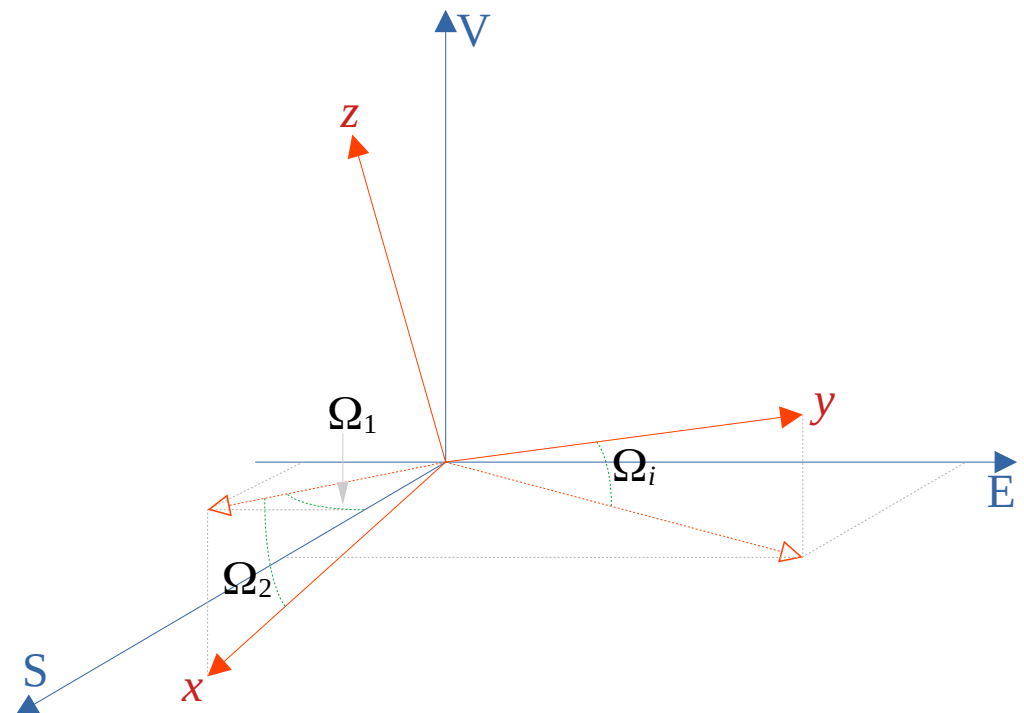
The orientation of the plant can be described giving the relation between the local frame  $xyz$  and the standard frame SEV. This can be conducted by simple solid geometry using, e.g., a set of three rotation angles with a standard frame as a starting point: the standard SEV frame can be superimposed to any local frame applying in sequence a clockwise rotation of an angle  $\Omega_1$  around the initial  $z$ -axis (i.e., the vertical direction), then a counterclockwise rotation of  $\Omega_2$  around the new  $y$ -axis, and finally a counterclockwise rotation of  $\Omega_3$  around the new  $x$ -axis (clockwise and counterclockwise rotations around  $i$ -axis ( $i = x, y$  or  $z$ ) are defined looking down from the positive  $i$ -axis.)

The choice between clockwise/counterclockwise rotations is arbitrary. A clockwise rotation of  $\Omega_1$  was chosen for sign coherence with the Azimuth angle, and counterclockwise rotations were chosen for the other two angles.

Such a sequence defines the orientation of the plant, and there are immediate interpretations of the rotation angles, that can be easily obtained from measurable properties of the LFR:

- $\Omega_1$  is the standard Azimuth angle  $\Gamma_s$  of the local frame  $x$ -axis;
- $\Omega_2$  is the inclination of the focal line w.r.t. the horizontal plane, considered positive if the local  $x$ -axis points downwards;
- $\Omega_3$  is obtainable from the inclination of the local frame  $y$ -axis w.r.t. the horizontal plane ( $\Omega_i$ , considered positive if local  $y$ -axis points upwards) by the relation  $\sin \Omega_i = \sin \Omega_3 \cos \Omega_2$ .

Note that if  $\Omega_2 = 0$ ,  $\Omega_3$  is simply  $\Omega_i$ . The geometrical meaning of the angles can be seen in Figure 2.



**Figure 2.** Angles used to define the orientation of an LFR (by its local  $xyz$  frame, red) w.r.t. a SEV frame (blue).

Combining the three rotations one can obtain the rotation matrix corresponding to the change of coordinates from the standard frame to the local frame: if a vector has components  $(v_s, v_E, v_V)$  in the SEV frame, its components in the local  $xyz$  frame are

$$\begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = \begin{pmatrix} c_1 c_2 & -s_1 c_2 & -s_2 \\ s_1 c_3 + c_1 s_2 s_3 & c_1 c_3 - s_1 s_2 s_3 & c_2 s_3 \\ -s_1 s_3 + c_1 s_2 c_3 & -c_1 s_3 - s_1 s_2 c_3 & c_2 c_3 \end{pmatrix} \begin{pmatrix} v_S \\ v_E \\ v_V \end{pmatrix}, \quad (2)$$

where  $c_1 = \cos \Omega_1$ ,  $s_1 = \sin \Omega_1$ , and so on. From this transformation, formulas for the conversion of standard Zenith and Azimuth angles to *local* Zenith  $z_l$  and Azimuth  $\Gamma_l$ . Local variables are defined using the  $z$  axis as the polar axis, and setting  $\Gamma_l = 0$  in the direction of the  $x$  axis.

$$\varphi_l = \arccos(s_3 \sin \varphi_s \sin(\Gamma_s - \Omega_1) + s_2 c_3 \sin \varphi_s \cos(\Gamma_s - \Omega_1) + c_2 c_3 \cos \varphi_s) \quad (3)$$

$$\Gamma_l = -\text{sgn}(-c_3 \sin \varphi_s \sin(\Gamma_s - \Omega_1) + s_2 s_3 \sin \varphi_s \cos(\Gamma_s - \Omega_1) + c_2 s_3 \cos \varphi_s) \times \arccos\left(\frac{c_2 \sin \varphi_s \cos(\Gamma_s - \Omega_1) - s_2 \cos \varphi_s}{\sin \varphi_l}\right). \quad (4)$$

Such cumbersome formulas drastically simplify if some of the  $\Omega$  angles are 0, as often happens. Note that the first line of the Azimuth formula is needed only to decide the sign of the Azimuth, i.e., to guess if the direction points to the semispace with positive or negative local  $y$ . Note also that when  $\sin \varphi_l = 0$  then  $\Gamma_l$  is undefined, as it should be.

These formulas are not fully explicit, since  $\varphi_l$  must be computed first and then used to compute  $\Gamma_l$ . A fully explicit formula for  $\Gamma_l$  can be easily obtained by substitution, but it is more cumbersome and not very useful.

Once the orientation has been defined, the description of the geometry of a mirror field must be completed by the sizes and positions of the elements.

A fundamental parameter of an LFR is the height  $H$  of the focal line above the mirror plane, simply defined as the  $z$  coordinate of the focal line in the local frame. If the  $z$ -axis is not inclined w.r.t. the vertical direction,  $H$  is simply the height of the receiver above the ground minus the possible elevation of the mirror axes.

The system is composed of  $N$  primary mirrors. Their axes are identified by their  $y_n$  coordinates,  $n = 1, \dots, N$ . Mirrors are numbered in order to have increasing  $y_n$ , i.e., looking down from the  $x$ -axis, the leftmost mirror has  $n = 1$ , and the rightmost mirror has  $n = N$ . Each mirror has a width and a focal length; the semi-width of the  $n$ -th mirror is denoted as  $w_n$ , and the *inverse* of the focal length of the  $n$ -th mirror is denoted as  $f_n$ . Semi-widths are used just for the convenience of notation, while the use of the inverses of the focal lengths allows one to deal with flat mirrors (setting  $f_n = 0$ ), a case that can be relevant in practice.

Note that some implicit assumptions are made:

- all the mirrors have a common aiming line;
- the mirror axes lie on a common plane;
- each mirror is mounted symmetrically w.r.t. its axis and rotates around its central point.

In conclusion, apart from the receiver specifications, the basic geometry of a mirror field is defined by  $3N + 4$  parameters:  $3N$  defining the mirrors (positions, sizes and focal lengths), one defining the height of the focal line, and three angles defining the orientation of the local frame. Other auxiliary quantities can be introduced. One is the already mentioned  $\Omega_i$ , which has a clear geometrical meaning and can be used as a starting input to obtain  $\Omega_3$ .

Other often useful angles are the  $\theta_n$ , each associated with a mirror, defined as  $\theta_n = \arctan(y_n/H)$ . Their geometrical meaning is clearly seen in Figure 1.

A set of variables  $d^{(n)}$  will be used to identify a point on the transversal section of a mirror  $n$ , in the  $yz$  plane: the quantity  $d^{(n)}$  is the signed distance from the  $n$ -th mirror axis. Note that  $d^{(n)}$  is comprised of  $-w_n$  and  $w_n$ .

### 2.3. Incidence Angles and Tracking

In the local system of a LFR, in order to identify a direction (e.g., the position of the sun) two angles are usually employed: the *transversal incidence angle*  $\alpha$  and the *longitudinal incidence angle*  $\gamma$ . Here  $\gamma$  is defined as the angle between the considered direction and the  $yz$  plane, and  $\alpha$  is defined as the angle between the  $z$ -axis and the projection of the direction on the  $yz$  plane. The angle  $\gamma$  is in the range  $[-\pi/2, +\pi/2]$ , and is considered positive when the  $x$  component of the direction is positive;  $\alpha$  is in the range  $[-\pi, +\pi]$  and is considered positive when the  $y$  component of the direction is negative, in order to have the sign of  $\alpha$  coherent with the sign of the local Azimuth. (Of course, other ranges or sign choices are possible. One should always check carefully the choice of the authors.)

With the chosen conventions, if a direction has angles  $\alpha$  and  $\gamma$ , the unitary vector pointing in that direction is

$$\hat{\mathbf{v}} = (\sin \gamma, -\cos \gamma \sin \alpha, \cos \gamma \cos \alpha). \quad (5)$$

Such angular coordinates are not so different from an azimuthal system; however, here the “vertical” axis lies along the  $x$ -axis and an “elevation” angle ( $\gamma$ ) is used instead of a zenithal one. The conversion from  $(\varphi_l, \Gamma_l)$  to  $(\alpha, \gamma)$  can be conducted via the relations:

$$\begin{aligned} \gamma &= \arcsin(\sin \varphi_l \cos \Gamma_l); \\ \alpha &= \operatorname{sgn}(\sin \varphi_l \sin \Gamma_l) \arccos(\cos \varphi_l / \cos \gamma). \end{aligned} \quad (6)$$

The angle  $\alpha$ , being an azimuth-like angle, is undefined when  $\cos \gamma = 0$ .

The equation for  $\alpha$  deserves two comments:

1. the formula is universal, in the sense that it is correct everywhere  $\alpha$  is well defined (i.e., when  $\cos \gamma \neq 0$ ), and it gives correct angles and signs also when  $\varphi_l > \pi/2$ . But if one limits the considered cases to  $\varphi_l \leq \pi/2$ , the simpler formula (without the sign function) can be used:

$$\alpha = \arcsin(\sin \varphi_l \sin \Gamma_l / \cos \gamma) \quad (\text{for } \varphi_l \leq \pi/2), \quad (7)$$

saving the computation of a trigonometric function.

2. formulas for  $\alpha$ , both in (6) and in (7) versions are not fully explicit, since  $\gamma$  must be computed before  $\alpha$ . Fully explicit formulas for  $\alpha$  from  $(\varphi_l, \Gamma_l)$  can be obtained substituting  $\cos \gamma$  with  $\sqrt{1 - \sin^2 \gamma} = \sqrt{1 - (\sin \varphi_l \cos \Gamma_l)^2}$  (note that the sign is always correct, since  $-\pi/2 \leq \gamma \leq \pi/2$ ). Note however, that  $\alpha$  and  $\gamma$  are usually computed together, so (6) (or (7)) can be applied.

A simpler, explicit relation is  $\alpha = \arctan(\tan \varphi_l \sin \Gamma_l)$ , but this is applicable only when  $\varphi_l < \pi/2$  (strictly).

Note that another angle is often used, which may be called “longitudinal incidence angle” too: it is the angle  $\zeta$  between the  $z$ -axis and the projection of the given direction on the  $xz$  plane, obtainable (for  $\varphi_l < \pi/2$  only) by the formula  $\zeta = \arctan(\tan \varphi_l \cos \Gamma_l)$ . Sometimes the angle  $\gamma$  is called simply “incidence angle” (in analogy with trough systems) and  $\zeta$  is called “longitudinal angle”. So, when reading the literature, one should always check carefully which of the two angles  $\gamma$  and  $\zeta$  is used by the authors, and the nomenclature they adopt. In this work, only the angle  $\gamma$  will be considered and no ambiguities should arise.

Note, however, that the IAM is often given as  $\text{IAM}(\alpha_{\odot}, \zeta_{\odot})$ , where the subscript  $\odot$  denotes sun coordinates, following McIntyre [150] who proposed a factorization as a product  $\text{IAM}(\alpha_{\odot}, 0)\text{IAM}(0, \zeta_{\odot})$ . However, the use of  $\gamma_{\odot}$  allows for an equally satisfying—maybe even better— factorization [151].

The coordinates  $\alpha_{\odot}$  and  $\gamma_{\odot}$  are the coordinates  $\alpha$  and  $\gamma$  of the center of the sun, respectively. In perfect tracking conditions, each mirror strip will be rotated at an angle  $\chi_n$  w.r.t. the horizontal position, as shown in Figure 1. A formula for  $\chi_n$  is easily obtained:

$$\chi_n = \frac{\alpha_{\odot} + \theta_n}{2}. \quad (8)$$

Note that the rotation speed is common for all the mirror strips.

In real conditions, tracking cannot be perfect. Two kinds of tracking errors can be identified: coherent tracking error, in which all the mirrors have the same deviation from the correct tracking position, and random tracking error, in which each mirror strip has a deviation taken from a random distribution. Coherent tracking errors are more harmful but less interesting because they can be corrected simply by modifying the tracking algorithm. Random tracking errors can be directly simulated by adding a deviation  $\delta_n$  to each  $\chi_n$ , with  $\delta_n$  taken from a random distribution, usually a Gaussian whose standard deviation quantifies the tracking error. However, note that such a procedure is not the best way to obtain the average behavior of an LFR. Since the number of mirrors is quite limited (in the order of tens) a single simulation with a fixed set of  $\delta_n$  assigned to each mirror will likely exhibit very specific behaviors that should be averaged on a sufficiently large number of simulations with different assignments of  $\delta_n$ . Such a procedure is computationally slow and is not recommended unless the aim is exactly the observation of the peculiarities that can arise as the effect of tracking errors (e.g., possible radiation spikes on the receiver). If only the averages are concerned, one should note that the effect of a random tracking error is, on average, the same as the effect of slope errors in the transversal section of the mirrors. The small difference between the two types of error is only due to the position of the mirror, which is altered by tracking errors but not by slope errors. However, this is a negligible effect: for instance, a tracking error of 0.2 mrad changes the position of the extremities of a 1 m wide mirror of 1 mm only. So, if the average effect of tracking errors is needed, the best way to deal with random tracking errors is to add the variance of their distribution to the variance of the transversal slope error (supposing both are Gaussian). The simulation of cumulative slope/tracking errors will be discussed in the next two sections.

The tracking angle  $\chi_n$  allows one to establish a relation between the relative coordinate  $d^{(n)}$  of a point on the mirror  $n$  and the local Cartesian coordinates  $y$  and  $z$ :

$$y = y_n + d^{(n)} \cos \chi_n, \quad z = d^{(n)} \sin \chi_n. \quad (9)$$

#### 2.4. Receiver

A large variety of receivers has been proposed and built [31–42], and the receiver is surely the element for which it is more difficult to make a general analysis. However, a large family of receivers—surely the vast majority of the proposals—are characterized by a horizontal flat effective target. Among this group, the two most widely proposed alternatives are a set of parallel tubes enclosed in a shallow trapezoidal cavity [31–37] or a single tube—possibly evacuated—enclosed in a secondary reflector, usually a CPC, whose opening is the effective target [38–40]. In some cases, the physical receiver is itself a flat element, such as a rack of evacuated Dewar tubes, like one of the models described in [14], or a strip of PV cells [30].

The universality of the flat horizontal effective opening suggests a simulation strategy based on the decoupling of the mirror field from the receiver. It is possible to perform a general simulation of a mirror field assuming a flat horizontal target, computing the incident radiation on the effective receiver aperture: then, the incident radiation is multiplied by an overall receiver optical efficiency  $\eta_{rec}$ , obtained in advance by accurate ray-tracing simulations of the receiver. The result is the radiation absorbed by the receiver. The efficiency  $\eta_{rec}$  may or may not depend on the sun incidence angles  $\alpha_{\odot}$  or  $\gamma_{\odot}$ , or even on the tracking or slope errors, depending on the desired accuracy. Sections 3 and 4 will be devoted to building an accurate simulation of a mirror field with a horizontal flat effective target.

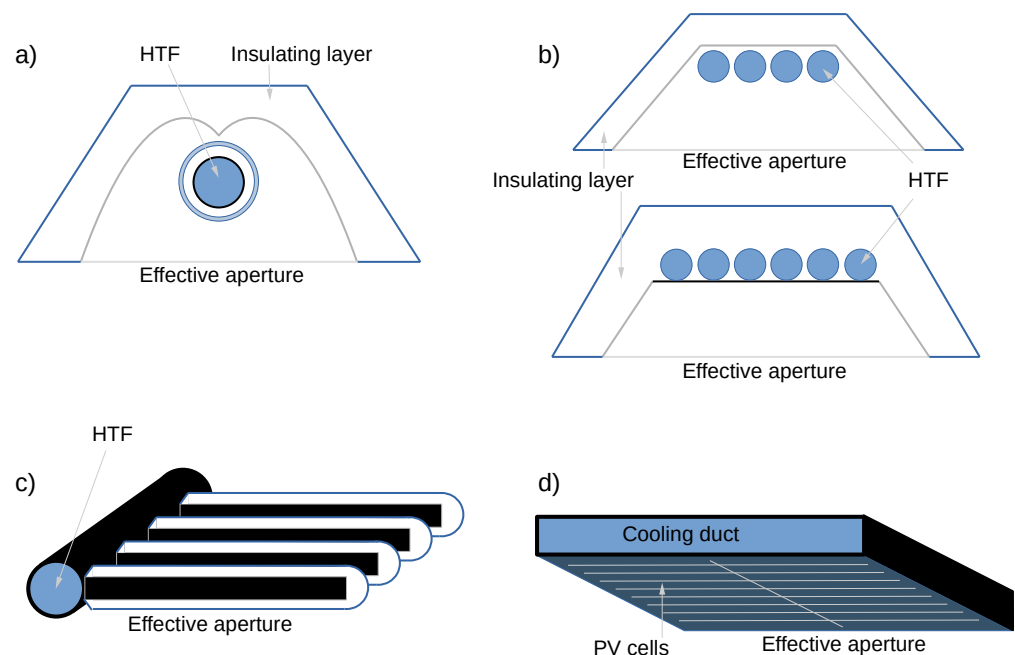
Of course, decoupling is not possible when details of the radiation distribution on the physical receiver are required. In such cases, ray-tracing of the complete system must be used. The first steps of the ray-tracing—up to the receiver aperture—are illustrated in Section 3.

Other less common choices for the receiver deserve to be mentioned, characterized by different shapes or orientations of the effective target:

- A tubular receiver without a secondary reflector: the target is the tube itself, which presents the same section view from every direction. Such a configuration favors the mirror farther from the axis, allowing a higher width/ $H$  ratio for the plant, but the absence of a secondary is a strong disadvantage for tracking.
- A triangular receiver [6], in which the mirrors with  $y_n > 0$  aim at an effective target that is flat and inclined to face more favorably this half of the mirror field, and the opposite happens for mirrors with  $y_n < 0$ . In fact, from the optical point of view, the two halves of the mirror field can be considered as two independent LFRs, reducing the analysis to the next case;
- A more general case with a flat, but inclined effective target, e.g., for EW-oriented plants: triangular receivers are then just a couple of symmetrical, non-superimposing plants of this kind;
- A flat vertical target, such as the vertical rack of Dewar tubes discussed in [14], can be considered a degenerate triangular receiver.

Figure 3 shows some of the receivers discussed above.

In the following two sections, when describing simulation methods, the effective target will always be taken as a flat horizontal surface, with semi-width  $l_r$ ; another semi-width  $l_s$  will be used to describe the shadowing due to the receiver itself.



**Figure 3.** Some of the possible receivers proposed for LFR. (a) Receiver tube enclosed in a secondary CPC (section). The tube may or may not be evacuated; the CPC may or may not be closed by a transparent window. (b) Multiple tubes in a trapezoidal cavity (section). The cavity may or may not be closed by a window. (c) Rack of Dewar tubes (see ref. [14]) that transfer heat to a common pipe, in horizontal arrangement. The tubes can also be arranged vertically. (d) Flat receiver for CPV with a refrigeration duct (see ref. [30]).

### 3. Methodology for Ray-Tracing Simulations

In this section, a general scheme and some useful formulas for building a ray-tracing simulation of a LFR will be given, up to when the ray reaches the aperture of the receiver. Note, however, that ray-tracing simulations are slow and are recommended only for studying specific configurations and for obtaining details on the radiation distribution. If only global efficiencies are concerned—e.g., for optimization purposes—ray-tracing is not recommended. An equally accurate and significantly faster quasi-analytical method is available, which will be described in Section 4.

The effective target is assumed to be a flat horizontal surface. The aiming line is  $y = 0, z = H$ . Consider a ray coming from the direction  $(\alpha, \gamma)$  (not necessarily  $(\alpha_{\odot}, \gamma_{\odot})$ , since solar divergence should be considered). The starting point of the ray is  $(x_0, y_0, z_0)$ . Of course,  $z_0 > H$ , in order to correctly describe the shadow projected from the receiver.

#### 3.1. Receiver Shadow

The first thing to check is if the ray is intercepted by the rear side of the receiver before hitting any mirror; in this case, the ray is lost. In most practical cases, the shadowing effect of the receiver can be effectively simulated as if it were caused by a flat surface, equal or larger in width than the effective target, and placed at the same height. This can look like a rather rough approximation since the shape of receivers can be far from flat, even when the effective target is flat. However, when the receiver shadow falls within the mirror field, it is often caused by the largest horizontal extension of the receiver. As an example, in the case of a receiver with a trapezoidal encasing (see Figure 3a,b), if the side of the receiver has a (quite steep) inclination of 60 deg w.r.t the horizontal plane—resulting in a bulky structure—the analysis will be exactly correct for a mirror field that extends for 60 deg below the receiver (e.g., if  $H = 10$  m the mirror field would be around 11.5 m). So, using a flat surface to compute the shadow is a simplification that produces good results more often than one might think, with small errors involving only the more external mirrors. In other cases, this first part of the analysis should be replaced with a more detailed computation.

The computation for a flat surface is quite simple. The ray has a direction versor

$$\hat{\mathbf{i}} = (-\sin \gamma, \cos \gamma \sin \alpha, -\cos \gamma \cos \alpha) \quad (10)$$

(remember that the ray is coming *from* the  $(\alpha, \gamma)$  direction). The ray will intersect the plane  $z = H$  at

$$y_s = y_0 + (z_0 - H) \tan \alpha. \quad (11)$$

If  $|y_s| < l_s$ , the ray is intercepted by the effective shadowing plane and it is lost. Otherwise, the analysis can go on.

Note that formula (11) is correct only for  $-\pi/2 < \alpha < \pi/2$ , otherwise it is meaningless (when  $\tan \alpha = 0$ ) or it gives spurious solutions (when  $|\alpha| > \pi/2$ ). In practice, one is unlikely to encounter this limitation, since the rays considered in a ray-tracing simulation will be always shot from above toward the mirror field. Possible pathological limit-cases should be checked in advance. This consideration will hold in all the following analyses.

#### 3.2. Selecting Which Mirror Is Hit, and Computing the Incidence Point

If the ray is not shadowed by the receiver, it can hit a mirror. In order to check if a ray hits a mirror, one should compute the intersection of the ray with the plane containing the mirror and then check if it is within the mirror width. When computing the intersection point, mirrors can be considered flat: curvature has no significant effect on the computation of the intersection point. As an example, consider a cylindrical mirror with a width of 1 m and a focal length of 10 m: such a mirror has a curvature radius of 20 m, so it subtends an angle of  $1/20$  rad. With a bit of trigonometry, one can easily obtain that the distance of the edge of the mirror from the plane tangent to the mirror vertex is well below a millimeter, and this is the maximum error on the intersection point.

The equation of the plane in which mirror  $n$  lies is

$$z = (y - y_n) \tan \chi_n. \tag{12}$$

Project the ray in the  $yz$  plane. The coordinates  $(y_i, z_i)$  of the intersection between the projected ray and the plane containing the mirror  $n$  will be given by intersecting the parametric line  $y = y_0 + t \sin \alpha, z = z_0 - t \cos \alpha$  (that is, the parametric equation of the projection of the ray in  $yz$  plane:  $t$  is the parameter) with the mirror plane (12). After a bit of computation, the intersection coordinates are given by

$$y_i = y_n + \cos \chi_n \frac{(y_0 - y_n) \cos \alpha + z_0 \sin \alpha}{\cos(\alpha - \chi_n)}, \quad z_i = \sin \chi_n \frac{(y_0 - y_n) \cos \alpha + z_0 \sin \alpha}{\cos(\alpha - \chi_n)}. \tag{13}$$

The signed distance  $d_i^{(n)}$  of the intersection point from the mirror axis is

$$d_i^{(n)} = (y_i - y_n) / \cos \chi_n = \frac{(y_0 - y_n) \cos \alpha + z_0 \sin \alpha}{\cos(\alpha - \chi_n)}. \tag{14}$$

If  $|d_i^{(n)}| \leq w_n$ , the mirror is hit; otherwise it is missed.

According to these computations, it can happen that more than one mirror is “hit” by the ray. Of course, this cannot really happen, but it can occur in the computation when a mirror shadows an adjacent mirror: the geometrical ray intersects both, but only the first is really hit. One can safely identify the first mirror considering that, if the ray has  $\alpha > 0$ , the first intercepted mirror will be the one with the smaller  $n$ ; on the contrary, if the ray has  $\alpha < 0$ , the first intercepted mirror will be the one with the larger  $n$ . So, when  $\alpha > 0$  the analysis can proceed from  $n = 1$ , increasing  $n$  until the first mirror is intercepted, and stopping there; when  $\alpha < 0$ , the analysis should start from  $n = N$ , decreasing  $n$  until the first intersection is found. Such a procedure correctly manages the reciprocal shadowing between adjacent mirrors, without any further analysis. Note that when  $\alpha = 0$  no multiple intersections can be found.

Of course, it can happen that no mirrors are intercepted: in this case, the ray is lost.

Many optimizations can be devised to speed up the procedure of identifying the hit mirror, without checking all the mirror sequences. For instance, one can estimate the range in which the ray will intersect the mirror plane and check only the mirrors in the region, or start the search from the last mirror hit by the last ray shot. Such methods can be easily devised and applied on a case-by-case basis.

### 3.3. Reflected Ray

Once the mirror hit by the ray is correctly identified and  $(y_i, z_i)$  is computed, the reflected ray must be built. This can be conducted simply by considering that its starting coordinates  $y_i$  and  $z_i$  are known ( $x_i$  is irrelevant due to translational symmetry), and one can obtain the direction versor  $\hat{\mathbf{r}}$  of the reflected ray by applying the vectorial reflection law

$$\hat{\mathbf{r}} = \hat{\mathbf{i}} - 2\hat{\mathbf{n}}(\hat{\mathbf{i}} \cdot \hat{\mathbf{n}}). \tag{15}$$

Here,  $\hat{\mathbf{n}}$  is the unitary vector normal to the surface. The versor  $\hat{\mathbf{n}}$  can be easily computed from  $d_i^{(n)}$ , with two slightly different formulas for parabolic and cylindrical mirrors:

$$\hat{\mathbf{n}} = (0, -\sin \zeta, \cos \zeta), \tag{16}$$

$$\zeta = \chi_n + \arcsin(d_i^{(n)} f_n / 2) \text{ (cyl.)}, \quad \zeta = \chi_n + \arctan(d_i^{(n)} f_n / 2) \text{ (par.)}.$$

In practice, in the plausible curvature range for LFR, cylindrical and parabolic mirrors are equivalent, and the formulas for  $\zeta$  can be unified as the more simple

$$\zeta = \chi_n + d_i^{(n)} f_n / 2. \tag{17}$$

The error due to this approximation can be easily estimated: if a mirror is 1 m wide, and the focal length is 10 m long, the values of  $\arcsin(d_i^{(n)} f_n/2)$  and  $\arctan(d_i^{(n)} f_n/2)$  differ less than  $10^{-5}$  from  $d_i^{(n)} f_n/2$ , causing an error well below 0.2 mm on the intercept point of a target 10 m afar. So, (17) can be safely used in computation, and the difference between parabolic and cylindrical mirrors can be neglected.

If one desires to consider possible slope errors in the analysis, the components of  $\hat{\mathbf{n}}$  can be perturbed introducing a random deviation of the desired size (remembering to keep  $\hat{\mathbf{n}}$  normalized to 1). If longitudinal slope errors are considered, a component  $n_x$  of  $\hat{\mathbf{n}}$  can be present. The random deviation in the transversal direction (i.e., in the plane  $yz$ ) can also include the random tracking error, as illustrated in Section 2.3.

So, the reflected ray projection in the  $yz$  plane will have the parametric equations

$$y = y_i + \hat{r}_y t, \quad z = z_i + \hat{r}_z t. \quad (18)$$

The cosine of the incidence angle is simply  $-\hat{\mathbf{i}} \cdot \hat{\mathbf{n}}$ .

### 3.4. Is the Reflected Ray Blocked?

Reflected rays can be blocked by adjacent mirrors. If a ray is reflected by  $n$ th mirror with  $y_n < 0$ , the ray can be blocked by the rear side of the  $(n + 1)$ th mirror; if  $y_i > 0$ , the ray can be blocked by the  $(n - 1)$ th mirror. In theory, one can imagine unusual configurations in which the ray is blocked by a non-adjacent mirror (e.g., for  $y_i < 0$ , with a very small  $(n + 1)$ th mirror and a very large  $(n + 2)$ th mirror); so, a rigorous analysis would extend to all the mirrors that follow (when  $y_i < 0$ ) or proceed (when  $y_i > 0$ ) the reflecting mirror. However, such cases are purely theoretical and are extremely unlikely to happen in real plants with a plausible design.

In order to check if the ray is blocked, just intersect the line (18) with the plane containing the mirror  $m$ , with  $m = n \pm 1$ . The intersection coordinate  $y_b$  is given by

$$y_b = \frac{y_i \hat{r}_z - y_m \hat{r}_y \tan \chi_m - z_i \hat{r}_y}{\hat{r}_z - \hat{r}_y \tan \chi_m}. \quad (19)$$

The local coordinate  $d_b^{(m)}$  of intersection on mirror  $m$  is

$$d_b^{(m)} = \frac{(y_i - y_m) \hat{r}_z - z_i \hat{r}_y}{\hat{r}_z \cos \chi_m - \hat{r}_y \sin \chi_m}. \quad (20)$$

If  $|d_b^{(m)}| < w_m$  the ray is blocked, and consequently lost; otherwise, the analysis can proceed.

### 3.5. Does the Ray Hit the Receiver?

The reflected ray—if not blocked—can be used as input for detailed ray tracing models of the receiver. The  $y$  coordinate at which the ray hits the effective target can be computed in order to verify—before any other analysis—if the ray hits the target or not.

The  $y_t$  coordinate is simply the  $y$  at which the reflected ray crosses the plane  $z = H$ , that is

$$y_t = y_i + \frac{\hat{r}_y}{\hat{r}_z} (H - z_i). \quad (21)$$

If  $|y_t| \leq l_r$ , the ray hits the effective target, otherwise it is lost.

### 3.6. Sum of the Contribution of All the Rays

The four simple passages illustrated above allow one to build ray-tracing simulations of an LFR mirror field, up to the entrance in the receiver aperture, without the need for a general purpose ray-tracing software. At this point, one could carry on the analysis using the ray as input for a ray-tracing simulation of the receiver, or the analysis can be stopped with the rays hitting the effective target. The concluding part of this section will describe

how to choose the rays to shoot, and how to normalize the results to obtain the radiation entering the aperture.

In order to compute the absorbed radiation, one should consider not only if the ray hits the target, but also how much energy the ray carries when entering the receiver. So, each ray will give a contribution proportional to

- the reflectivity of the mirror it hits;
- the cosine factor.

In some cases, the reflectivity of the mirrors can be assumed to be constant. In other cases, its dependence on the incidence angle should be taken into account. More precisely, the reflectivity  $\rho$  can be written as a function of the cosine of the incidence angle ( $-\hat{\mathbf{i}} \cdot \hat{\mathbf{n}}$ ), already computed.

Given a sun position  $(\alpha_{\odot}, \gamma_{\odot})$ , the procedure to follow for implementing a ray-tracing simulation can be summarized in the following steps:

1. In the  $yz$  plane, choose a horizontal segment of length  $L$  above the receiver ( $z > H$ ), making sure that the radiation coming from this region will “cover” entirely the mirror field;
2. From this segment, shoot a sample of  $N_{rays}$  rays with a uniform distribution of the starting coordinate  $y$  (the starting  $x$  coordinate can be set to 0), and with an angular distribution of the rays that reproduces the solar divergence around  $(\alpha_{\odot}, \gamma_{\odot})$  according to the chosen sun profile. Both the starting points and the directions can be chosen randomly (Monte Carlo ray tracing) or distributed on a uniform grid. The first option is safer (no systematic errors due to the discretization) but significantly slower;
3. Shoot each ray of the sample, as illustrated: if it hits the receiver, add the quantity  $\rho(-\hat{\mathbf{i}} \cdot \hat{\mathbf{n}})$  to the “absorbed” energy;
4. Normalize the absorbed energy multiplying by the quantity  $\cos \varphi_{l,\odot} L / N_{rays}$ : here  $\varphi_{l,\odot}$  is the local Zenith of the sun, that is, the incidence angle of the radiation on the mirror plane, and it accounts for the cosine factor. The following relation holds:

$$\cos \varphi_{l,\odot} = \cos \gamma_{\odot} \cos \alpha_{\odot}. \quad (22)$$

The result is the power that enters the receiver per length unit, for a unitary DNI. The procedure actually involves an approximation, since the rays coming from within the sun radiation cone are considered equivalent, neglecting the cosine effect of peripheral rays when they contribute to the DNI; it is clearly a negligible effect (the cosine of the solar angular size differs by about  $10^{-5}$  from 1).

Since the  $y_t$  of each ray is computed (21), the target ( $[-l_r, +l_r]$ ) can be divided in many sub-segments, and the partial efficiency for each sub-segment can be computed, in order to build a radiation distribution on the target.

Note that the analysis considered only the radiation that hits the effective target, which was assumed to have perfect efficiency. One should not forget to include the receiver efficiency in the analysis, as already discussed in Section 2.4.

#### 4. Quasi-Analytical Analysis

As anticipated, ray-tracing is required only if a detailed radiation distribution is needed, or as a starting point for a complete ray-tracing simulation including the receiver. But if only the global efficiency of the system is concerned—and the receiver can be reasonably decoupled from the mirror field—a faster way to obtain accurate results is advisable. Fresnel systems are not too complex to be analyzed via a quasi-analytical method that does not involve discretizations. Such a method is based on the concept of the “active part” of a mirror, i.e., the part of a primary mirror that sends the radiation to the target; the computation of the active part for collimated rays and the method to deal with solar divergence and slope/tracking errors will be illustrated in this section. The method is called quasi-analytical because, although it requires some numerical computations, these

are limited to the solution of non-linear equations and to quadrature methods; they are not strictly related to the modeling of the system—as is the case in ray-tracing—and can be performed with high accuracy via standard methods. The quasi-analytical method is orders of magnitude faster than an accurate ray-tracing simulation, and it is surely advisable for optimization purposes or on-the-fly computations included in larger simulations.

#### 4.1. Collimated Radiation

This subsection will illustrate the analysis for collimated radiation, coming from a given direction  $(\alpha, \gamma)$ . All the computations for collimated radiation will consider a perfect system, without slope errors. Slope and tracking errors will be introduced later with the methods illustrated in Section 4.2.

The efficiency of the LFR under collimated radiation can be performed by computing, for each mirror, the useful surface, i.e., the area of the mirror that will send radiation to the receiver. The sum of such areas, multiplied by the radiation intensity, by appropriate cosine factors and by the mirror reflectivity—possibly depending on the incidence angle—will give the radiation incident on the target.

For the mirror  $n$ , the effective useful surface will have a section length  $A_n$  in the plane  $yz$ . This can be computed by eliminating from the mirror surface the regions that

1. are shadowed by the receiver;
2. are shadowed by other mirrors;
3. reflect radiation that is blocked by adjacent mirrors;
4. reflect radiation that does not hit the target.

In the following, the methods for computing the active region of each mirror will be illustrated.

All the analysis can be made in the  $yz$  plane, considering only the projection of the rays and the sections of mirrors and receivers. So, in the following, “rays” and “lines” must be intended as  $yz$  projections, unless otherwise specified.

##### 4.1.1. Receiver Shadow

Consider the mirror  $n$ . The plane containing its surface, as already seen, is given by

$$z = (y - y_n) \tan \chi_n. \quad (23)$$

The projection of the shadow of the receiver on this plane can be easily found, intersecting the rays that cross the edges of the shadowing surface with the mirror plane. The projections on  $yz$  of the two rays have the parametric equation

$$z = H - t \cos \alpha, \quad y = \pm l_s + t \sin \alpha, \quad (24)$$

where the sign  $-$  corresponds to the edge with  $y = -l_s$ , and the sign  $+$  to the edge with  $y = +l_s$ . The two intersections are given by

$$y_{\pm} = \frac{(H \cos \chi_n + y_n \sin \chi_n) \sin \alpha \pm l_s \cos \alpha \cos \chi_n}{\cos(\alpha - \chi_n)}. \quad (25)$$

Using the local coordinate  $d^{(n)}$  on the mirror  $n$ , the two intersection points are given by

$$d_{\pm}^{(n)} = (y_{\pm} - y_n) / \cos \chi_n = \frac{H \sin \alpha + (-y_n \pm l_s) \cos \alpha}{\cos(\alpha - \chi_n)}. \quad (26)$$

Five cases can happen:

1.  $d_{-}^{(n)} > w_n$  or  $d_{+}^{(n)} < -w_n$ : the mirror  $n$  is not shadowed by the receiver.
2.  $-w_n < d_{-}^{(n)} < w_n$  and  $d_{+}^{(n)} > w_n$ : the mirror region from  $d_{-}^{(n)}$  to  $w_n$  is shadowed.
3.  $d_{-}^{(n)} < -w_n$  and  $-w_n < d_{+}^{(n)} < w_n$ : the mirror region from  $-w_n$  to  $d_{+}^{(n)}$  is shadowed.

4.  $-w_n < d_-^{(n)} < d_+^{(n)} < w_n$ : the mirror region from  $d_-^{(n)}$  to  $d_+^{(n)}$  is shadowed.
5.  $d_-^{(n)} < -w_n$  and  $d_+^{(n)} > w_n$ : the entire mirror is shadowed (and the analysis for mirror  $n$  can terminate here).

#### 4.1.2. Mirror Shadowing

In order to determine if the mirror  $n$  is shadowed by other mirrors, one should check if  $\alpha > 0$  (in this case, the possible shadowing mirrors are  $n - 1, n - 2,$  and so on) or if  $\alpha < 0$  (in this case, the possible shadowing mirrors are  $n + 1, n + 2,$  and so on). In real-life configurations is very likely that only the shadow from the adjacent mirror ( $n + 1$  or  $n - 1$ ) could be considered (this is certainly true, e.g., when all the mirrors have the same width), but in principle, one can imagine situations in which the shadowing mirror can be more distant (e.g., a very small  $n + 1$  mirror and a very large  $n + 2$ ). In the following, the shadowing of mirror  $n$  from a generic mirror  $m$  will be considered; the values of  $m$  will be, usually, only  $n + 1$  or  $n - 1$ , but the reasoning is valid for each possible pair of mirrors one would like to check.

When  $\alpha > 0$ , mirror  $n$  can be shadowed by mirrors with  $m < n$ . The right edge of mirror  $m$  has coordinates  $y = y_m + w_m \cos \chi_m, z = w_m \sin \chi_m$ . The ray intersecting this edge is

$$y = y_m + w_m \cos \chi_m + t \sin \alpha, \quad z = w_m \sin \chi_m - t \cos \alpha. \tag{27}$$

If this ray hits the mirror  $n$ , it means that the shadow of mirror  $m$  partially covers mirror  $n$ . The intersection of the ray with the plane containing mirror  $n$  can be easily computed, yielding

$$y = \frac{y_n \sin \alpha \sin \chi_n + y_m \cos \alpha \cos \chi_n + w_m \cos \chi_n \cos(\alpha - \chi_m)}{\cos(\alpha - \chi_n)}. \tag{28}$$

From  $y$ , the coordinate  $d_s^{(n)}$  of intersection can be obtained, as usual:

$$d_s^{(n)} = \frac{(y_m - y_n) \cos \alpha + w_m \cos(\alpha - \chi_m)}{\cos(\alpha - \chi_n)}. \tag{29}$$

If  $d_s^{(n)} < -w_n$ , mirror  $n$  is not shadowed by mirror  $m$ . If  $-w_n < d_s^{(n)} < w_n$ , the mirror region from  $-w_n$  to  $d_s^{(n)}$  is shadowed. In principle, it can also happen that  $d_s^{(n)} > w_n$ : in this case, mirror  $n$  is completely shadowed by mirror  $m$  (and this is a clear indication that the shadow of  $m$  can extend also to  $n + 1$  and following).

It could also happen, in principle, that the *left* edge of  $n - 1$  mirror projects a shadow within the  $n$ th mirror width, if the sun is very near to the horizon, the mirrors present strong differences in  $w_n s$ , the mirror field is elevated and no shadowing obstacles are present under it; but such theoretical limit-cases can be ignored in practice.

The same reasoning applies, when  $\alpha < 0$ , to the shadow of mirrors at the right of  $n$ , with  $m > n$ . In this case, the ray passing by the left edge of the mirror  $m$  must be projected in the plane of  $n$ . The result is (note the different signs):

$$y = \frac{y_n \sin \alpha \sin \chi_n + y_m \cos \alpha \cos \chi_n - w_m \cos \chi_n \cos(\alpha - \chi_m)}{\cos(\alpha - \chi_n)}, \tag{30}$$

$$d_s^{(n)} = \frac{(y_m - y_n) \cos \alpha - w_m \cos(\alpha - \chi_m)}{\cos(\alpha - \chi_n)}. \tag{31}$$

In this case, if  $d_s^{(n)} > w_n$ , mirror  $n$  is not shadowed by mirror  $m$ . If  $-w_n < d_s^{(n)} < w_n$ , the mirror region from  $d_s^{(n)}$  to  $w_n$  is shadowed. If  $d_s^{(n)} < -w_n$ , the whole mirror  $n$  is shadowed.

### 4.1.3. Blocking

Up to now, the active part selection excluded the parts of the mirror that are shadowed either by the receiver or by the other mirrors: the remaining part receives and reflects radiation. However, the reflected radiation can be blocked by adjacent mirrors. If  $y_n < 0$ , the reflected radiation beam can be partially blocked by the mirror  $n + 1$ ; if  $y_n > 0$ , the reflected radiation can be blocked by mirror  $n - 1$ . A mirror with  $y_n = 0$  cannot suffer from blocking. In theory, also non-adjacent mirrors could block the reflected radiation (see Section 3.4), but such purely theoretical cases will be excluded from the following analysis.

The procedure for blocking analysis deserves some preliminary comments. Blocking should be, in fact, a minor problem in a well-designed LFR plant: it is quite easy to devise a geometry where blocking of rays directed towards the target is impossible, and even when constraints do not allow building a blocking-free plant it is likely that blocking would only affect a few mirrors. So, before embarking on the computations of blocking, one should always check if the analysis is required. So, it is advisable to perform a set of preliminary checks, illustrated in the following: the checks are, in fact, somewhat redundant when taken as a whole, but each of them can ensure the absence of blocking and save computational time.

First of all, one should check if blocking analysis is required at all for the given mirror  $n$ . It is clear that if each point of the mirror has a complete view of the target surface for any tracking position, blocking is irrelevant. This does not mean that reflected rays cannot be blocked, but in any case, the blocked rays would not hit the target. So, before any other computation, one can spot the mirrors for which such a condition holds: for these mirrors, blocking analysis can be avoided.

Consider the case  $y_n < 0$ . From the construction shown in Figure 4, one can easily see that a sufficient condition for the absence of blocking is

$$(y_{n+1} - y_n) \cos \beta \geq w_n + w_{n+1}, \quad \beta = \arctan \frac{l_r - y_n}{H} - \arcsin \frac{w_n}{\sqrt{H^2 + (l_r - y_n)^2}}. \quad (32)$$

Note that this is only a sufficient condition, but it is a good approximation of the true exact condition and is much easier to compute (moreover, this condition rigorously holds also in the presence of tracking errors, while the exact condition is obtained supposing perfect tracking.). For  $y_n > 0$ , the same reasoning leads to

$$(y_n - y_{n-1}) \cos \beta \geq w_n + w_{n-1}, \quad \beta = \arctan \frac{l_r + y_n}{H} - \arcsin \frac{w_n}{\sqrt{H^2 + (l_r + y_n)^2}}. \quad (33)$$

So, before the analysis of any specific tracking positions, one can list the mirrors that satisfy condition (32) (for  $y_n < 0$ ) or (33) (for  $y_n > 0$ ) and exclude them from any further computations of blocking. Note that the two conditions give a simple procedure to build a blocking-free mirror field (but not a minimal one), placing the left-side mirrors in order to satisfy (32) and the right-side mirrors in order to satisfy (33).

For the other mirrors, blocking analysis is required for each tracking position. Consider a mirror with  $y_n < 0$ . Three simple preliminary checks can be conducted:

1. First check: if  $\chi_n > 0$ , the mirror cannot suffer from blocking and the analysis stops here.
2. Second check: if the line connecting the rightmost point of the mirror to the rightmost point of the receiver is not blocked by mirror  $n + 1$ , the mirror cannot suffer from blocking (rays directed to the target cannot be blocked). The line has equation

$$(z - H)(y_n + w_n \cos \chi_n - l_r) = (y - l_r)(w_n \sin \chi_n - H), \quad (34)$$

and the plane of mirror  $n + 1$  is  $z = (y - y_{n+1}) \tan \chi_{n+1}$ . After a bit of computation, the coordinate  $d_b^{(n+1)}$  of intersection on the mirror  $n + 1$  can be obtained:

$$d_b^{(n+1)} = \frac{(y_n - y_{n+1} + w_n \cos \chi_n)H + w_n(y_{n+1} - l_r) \sin \chi_n}{(y_n - l_r) \sin \chi_{n+1} + w_n \sin(\chi_{n+1} - \chi_n) + H \cos \chi_{n+1}}. \quad (35)$$

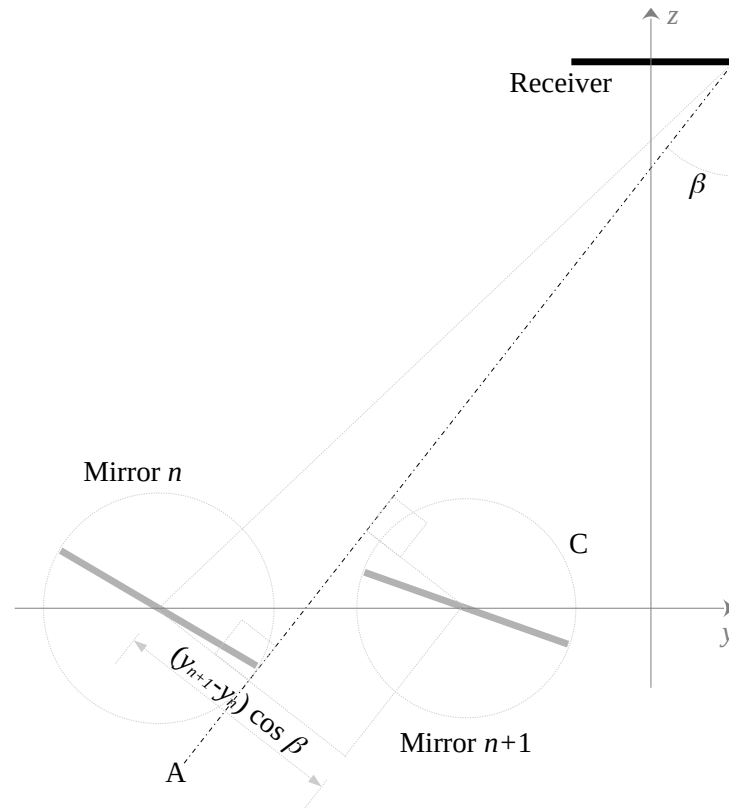
If  $d_b^{(n+1)} < -w_{n+1}$ , blocking is not present and the analysis stops here.

3. Third check: if the rightmost ray reflected from mirror  $n$  is not blocked by mirror  $n + 1$ , the mirror cannot suffer blocking (rays reflected by mirror  $n$  cannot be blocked). The local coordinate on  $n + 1$  mirror of the intersection is

$$d_b^{(n+1)} = \frac{(y_n - y_{n+1}) \cos(\alpha - 2\chi_n - w_n f_n) + w_n \cos(\alpha - \chi_n - w_n f_n)}{\cos(\alpha - 2\chi_n - w_n f_n + \chi_{n+1})}. \quad (36)$$

This equation makes use of the equation for the reflected ray projected on section  $yz$  (see below), and of the simplified Formula (17).

If  $d_b^{(n+1)} < -w_{n+1}$  blocking is not present.



**Figure 4.** Geometrical construction to obtain a sufficient condition for the absence of blocking: line A must not intersect circle C.

If none of the above conditions are verified, it means that mirror  $n$  suffers from blocking from the mirror  $n + 1$ . So, one should identify the part of mirror  $n$  that is “inactive”, meaning that the reflected radiation is blocked by mirror  $n + 1$ .

Consider the reflected ray coming from mirror  $n$  and starting from the point  $d_i^{(n)}$ , projected as usual on the plane  $yz$ , for perfect tracking and no slope errors

$$y = y_n + d_i^{(n)} \cos \chi_n + t \sin(\alpha - 2\xi), \quad z = d_i^{(n)} \sin \chi_n + t \cos(\alpha - 2\xi). \quad (37)$$

The angle  $\xi$  depends on  $d_i^{(n)}$  and is given by Formula (17). The intersection coordinate  $d_b^{(n+1)}$  between this reflected ray and the plane of the mirror  $n + 1$ , i.e., the plane

$$z = (y - y_{n+1}) \tan \chi_{n+1} \tag{38}$$

can be obtained with a bit of computation:

$$d_b^{(n+1)} = \frac{(y_n - y_{n+1}) \cos(\alpha - 2\xi) + d_i^{(n)} \cos(\alpha - 2\xi + \chi_n)}{\cos(\alpha - 2\xi + \chi_{n+1})}. \tag{39}$$

Note that the angle  $\xi$  is a function of  $d_i^{(n)}$ . Using (17), the formula can be written as

$$d_b^{(n+1)} = \frac{(y_n - y_{n+1}) \cos(\alpha - 2\chi_n - d_i^{(n)} f_n) + d_i^{(n)} \cos(\alpha - \chi_n - d_i^{(n)} f_n)}{\cos(\alpha - 2\chi_n - d_i^{(n)} f_n + \chi_{n+1})}. \tag{40}$$

The active part of the mirror  $n$ —concerning blocking—span from  $-w_n$  to the coordinate  $\tilde{d}_i^{(n)}$  for which  $d_b^{(n+1)} = -w_{n+1}$ . So, the equation to be solved is

$$(y_n - y_{n+1}) \cos(\alpha - 2\chi_n - \tilde{d}_i^{(n)} f_n) + \tilde{d}_i^{(n)} \cos(\alpha - \chi_n - \tilde{d}_i^{(n)} f_n) + w_{n+1} \cos(\alpha - 2\chi_n - \tilde{d}_i^{(n)} f_n + \chi_{n+1}) = 0. \tag{41}$$

This trigonometric equation for  $\tilde{d}_i^{(n)}$  requires numerical solution. The numerical effort in solving this “blocking equation” is quite small; one of the widely available methods—from simple bisection to Newton-like methods—can be employed.

Once  $\tilde{d}_i^{(n)}$  has been computed, the part of the mirror  $n$  from  $\tilde{d}_i^{(n)}$  to  $w_n$  is inactive, since the reflected rays are blocked.

For the case  $y_n > 0$ , a short summary of the same analysis is as follows:

1. First check: if  $\chi_n < 0$ , no blocking.
2. Second check: if the line connecting the leftmost point of the mirror to the leftmost point of the receiver is not blocked by mirror  $n - 1$ , the mirror cannot suffer from blocking. The  $d_b^{(n-1)}$  to consider is

$$d_b^{(n-1)} = \frac{(y_n - y_{n-1} - w_n \cos \chi_n)H - w_n(y_{n-1} + l_r) \sin \chi_n}{(y_n + l_r) \sin \chi_{n-1} + w_n \sin(\chi_n - \chi_{n-1}) + H \cos \chi_{n-1}}. \tag{42}$$

If  $d_b^{(n-1)} > w_{n-1}$ , blocking is not present and the analysis stops here.

3. Third check: if the leftmost ray reflected from mirror  $n$  is not blocked by mirror  $n - 1$ , the mirror cannot suffer from blocking. The local coordinate of intersection is

$$d_b^{(n-1)} = \frac{(y_n - y_{n-1}) \cos(\alpha - 2\chi_n + w_n f_n) - w_n \cos(\alpha - \chi_n + w_n f_n)}{\cos(\alpha - 2\chi_n + w_n f_n + \chi_{n-1})}. \tag{43}$$

If  $d_b^{(n-1)} > w_{n-1}$  blocking is not present.

4. If none of the above conditions is verified, the inactive part of mirror  $n$  can be obtained from the equation

$$(y_n - y_{n-1}) \cos(\alpha - 2\chi_n - \tilde{d}_i^{(n)} f_n) + \tilde{d}_i^{(n)} \cos(\alpha - \chi_n - \tilde{d}_i^{(n)} f_n) - w_{n-1} \cos(\alpha - 2\chi_n - \tilde{d}_i^{(n)} f_n + \chi_{n-1}) = 0. \tag{44}$$

and the part of the mirror  $n$  from  $-w_n$  to  $\tilde{d}_i^{(n)}$  is inactive since the reflected rays are blocked.

#### 4.1.4. In-Target Reflected Beam

Even if a ray is not blocked, it is possible that it does not hit the target. If a ray is reflected by a point on the mirror  $n$  with relative coordinate  $d_i^{(n)}$ , the parametric equation of the reflected ray—projected on the  $yz$  plane—will be given by (37), which can be rewritten using (17):

$$y = y_n + d_i^{(n)} \cos \chi_n + t \sin(\alpha - 2\chi_n - d_i^{(n)} f_n), \quad z = d_i^{(n)} \sin \chi_n + t \cos(\alpha - 2\chi_n - d_i^{(n)} f_n). \quad (45)$$

The coordinate  $y_H$  of the intersection of the reflected ray with the receiver plane can be found substituting  $z = H$  in (45), finding  $t$ , and obtaining the function  $y_H(d_i^{(n)})$ :

$$y_H(d_i^{(n)}) = y_n + \frac{d_i^{(n)} \cos(\alpha - \chi_n - d_i^{(n)} f_n) + H \sin(\alpha - 2\chi_n - d_i^{(n)} f_n)}{\cos(\alpha - 2\chi_n - d_i^{(n)} f_n)}. \quad (46)$$

If the focal length is significantly larger than the distance between the mirror and the target, one can safely assume that  $y_H(d_i^{(n)})$  is an increasing function; if the focal length is significantly smaller than the distance, the function  $y_H(d_i^{(n)})$  will be a decreasing function. In such cases, one could easily obtain the two extremities of the active part of the mirror setting  $y_H(d_i^{(n)}) = \pm l_r$  and solve the two equations. Unfortunately, focal lengths are often set to be close to the distance mirror-receiver and the function  $y_H(d_i^{(n)})$  can even be non-monotonic in such cases.

As a general recommendation, the safest way to proceed is the following:

- find all the solutions of both equations  $y_H(d_i^{(n)}) = \pm l_r$ , in the interval  $-w_n < d_i^{(n)} < w_n$ ;
- if  $k$  solutions are found, put the solutions in order on the interval  $[-w_n, +w_n]$ , dividing the interval in  $k + 1$  segments;
- for each of the  $k + 1$  segments, compute a probing value  $y_H(d_i^{(n)})$  with  $d_i^{(n)}$  belonging to the segment, in order to check if the segment is active (the ray hits the receiver) or not (the ray does not hit the receiver).

Such a procedure, in practice, can reduce to very simple checks: in most cases, the function  $y_H(d_i^{(n)})$  will be monotonic anyway, or the rays will be entirely reflected within the target.

#### 4.1.5. Sum of the Contributions of All Mirrors

Up to now, the parts of the mirror that are ineffective due to the shadowing of the receiver and of the other mirrors, to blocking and to out-of-target rays have been computed. Putting all these parts together, one obtains the inactive part of the mirror. The remaining part is active. For each mirror, the  $yz$  section length of the active part (i.e., the active area per length unit) of mirror  $n$  is denoted as  $A_n$ .

All the radiation reflected by the area  $A_n$  will hit the target. So, the contribution of mirror  $n$  to the radiation collected per length unit is  $DNI \cos \psi_n$ , where  $\psi_n$  is the incidence angle on the plane containing the mirror  $n$ . The cosine  $c_{n\psi} = \cos \psi_n$  can be easily computed as the scalar product of the versor orthogonal to the plane and the direction of the ray (considering also the angle  $\gamma$ ), yielding  $c_{n\psi} = \cos \gamma \cos(\alpha - \chi_n)$ . So, the radiation  $I_{coll}$  on the receiver aperture per length unit will be

$$I_{coll} = DNI \times \sum_{n=1}^N \rho_n(c_{n\psi}) A_n c_{n\psi}, \quad c_{n\psi} = \cos \gamma \cos(\alpha - \chi_n). \quad (47)$$

where  $\rho_n$  is the reflectivity of mirror  $n$ . The reflectivity  $\rho_n$  can be fixed and common for all  $n$ , or it can depend on the incidence angle  $\psi_n$  (via  $c_{n\psi}$ ). The dependence on  $\psi_n$  is an approximation: the true local incidence angle of a ray on the mirror surface changes along the mirror section, as shown in Section 3; however, the incidence angle can be approximated

with  $\psi_n$  if the curvature of the mirror is neglected. Consider that the deviation of the normal vector due to the curvature for a 1 m wide mirror with a 10 m focal length is well below 1.5 deg, and such a difference is unlikely to change significantly the mirror reflectivity.

This simple formula allows one to compute the radiation collected by a horizontal flat receiver with a computational effort that is well below a ray-tracing simulation, but it is valid for collimated radiation only. However, a simple numerical integration allows one to take into account the solar divergence, as it will be illustrated in the next subsection.

#### 4.2. Solar Divergence, Slope and Tracking Errors

The value of  $I_{coll}$  found in (47) depends—besides the fixed properties of the plant—on the direction  $(\alpha, \gamma)$  of the collimated radiation, but also on the set of the tracking angles  $\chi_n$ , which are determined by the sun position  $(\alpha_\odot, \gamma_\odot)$  via (8). So, for a fixed plant geometry, the function  $I_{coll}(\alpha, \gamma, \alpha_\odot, \gamma_\odot)$  gives the radiation on the receiver for a given sun position and for a given direction of the collimated radiation. Integrating the first two variables on the sun rays distribution gives the radiation collected for a given  $(\alpha_\odot, \gamma_\odot)$  sun position.

##### 4.2.1. Pillbox Sun

Suppose, for now, that the system is perfect: no slope errors and no tracking errors. The dispersion of the rays will be represented by the solar divergence only, and the efficiency of the system can be computed by averaging the function  $I_{coll}(\alpha, \gamma, \alpha_\odot, \gamma_\odot)$  on the distribution of  $(\alpha, \gamma)$  around  $(\alpha_\odot, \gamma_\odot)$ . A simple and widely used distribution is the pillbox distribution, that is, a uniform angular distribution around the direction  $(\alpha_\odot, \gamma_\odot)$  with a radius equal to the sun’s angular radius  $a$ . The distribution neglects circumsolar “direct” radiation and limb darkening, but it is anyway quite accurate. In coordinates  $(\alpha, \gamma)$ , the distribution will be subject to an “enlargement” of the  $\alpha$  domain when  $\gamma$  increases, and the uniform angular distribution will come (with excellent approximation) from an elliptical domain center in  $(\alpha_\odot, \gamma_\odot)$ , with semiaxis  $a$  along direction  $\gamma$  and semiaxis  $a/\cos\gamma_\odot$  along direction  $\alpha$ . The approximation breaks down when  $\gamma \rightarrow \pm\pi$ , a case of little practical interest. The function  $I_{coll}(\alpha, \gamma, \alpha_\odot, \gamma_\odot)$  should be averaged on this distribution.

Observe that the average on  $\gamma$ , in fact, is not necessary: optical efficiencies depend slowly on  $\gamma$ , and the change of  $I_{coll}$  when  $\gamma$  varies of a quantity  $a$  is negligible. So, one can assume  $\gamma = \gamma_\odot$  and average only on  $\alpha$ : however, one should remember to weight the average on the width of the  $\gamma$  domain at each value of  $\alpha$ , i.e.,  $a\sqrt{1 - ((\alpha - \alpha_\odot)\cos\gamma_\odot/a)^2}$ . So, the radiation  $I(\alpha_\odot, \gamma_\odot)$  collected by the system, considering the solar divergence (pillbox distribution)—with the correct normalization—is

$$I(\alpha_\odot, \gamma_\odot) = \frac{\cos\gamma_\odot}{\pi a} \int_{\alpha_\odot - a/\cos\gamma_\odot}^{\alpha_\odot + a/\cos\gamma_\odot} I_{coll}(\alpha, \gamma_\odot, \alpha_\odot, \gamma_\odot) \sqrt{1 - ((\alpha - \alpha_\odot)\cos\gamma_\odot/a)^2} d\alpha. \quad (48)$$

Such an integral can be rewritten in a simpler form with a change of variable  $t = (\alpha - \alpha_\odot)\cos\gamma_\odot/a$ :

$$I(\alpha_\odot, \gamma_\odot) = \frac{1}{\pi} \int_{-1}^{+1} I_{coll}(at/\cos\gamma_\odot + \alpha_\odot, \gamma_\odot, \alpha_\odot, \gamma_\odot) \sqrt{1 - t^2} dt. \quad (49)$$

An accurate  $M$ -point quadrature method for integrals of this kind is the second Gauss–Chebyshev method [152]:

$$\int_{-1}^{+1} g(t) \sqrt{1 - t^2} dt \approx \sum_{k=1}^M p_k g(t_k), \quad t_k = \cos\left(\frac{k\pi}{M+1}\right), \quad p_k = \frac{\pi}{M+1} \sin^2\left(\frac{k\pi}{M+1}\right). \quad (50)$$

Such an integration method is recommended every time a pillbox sun is projected on a linear system. It allows for accurate computations of the solar divergence effect with a few evaluations of the function  $I_{coll}$  (10 are usually more than enough).

So, the evaluation of the efficiency of an LFR given the sun position can be performed accurately by the following procedure:

1. Compute the Zenith and Azimuth of the sun (this can be conducted via one of the many algorithms found in the literature, e.g., [153,154]);
2. Obtain the coordinates  $(\alpha_{\odot}, \gamma_{\odot})$  in the local frame applying (3) and (6);
3. Obtain the tracking angles  $\phi_n$  for each mirror using (8);
4. Compute the  $M$  integration nodes of (50) and the corresponding values of  $\alpha_k$ ;
5. For each  $\alpha_k$ , compute the function  $I_{coll}(\alpha_k, \gamma_{\odot}, \alpha_{\odot}, \gamma_{\odot})$  applying the procedure described in this section, i.e., compute the active part  $A_n$  for each mirror  $n$ , and sum the contribution of all the mirrors applying (47);
6. Average the weighted contribution of each  $\alpha_k$  using (49) and (50).

A similar procedure can be applied also when more refined models of the sun divergence are considered, re-calculating the correct sun distribution projected on direction  $\alpha$  and choosing the best-suited integration method.

Such a procedure is accurate and considerably faster than a ray-tracing simulation. In order to make a comparison, consider that the evaluation of  $A_n$  for a mirror  $n$  has a computational weight as the ray-tracing of a few rays. So, the analysis for collimated radiation weights as the simulation of a few tens of rays, and even considering the integration of the pillbox sun the simulation has the computational weight of shooting a few hundreds of rays. But a ray-tracing simulation of comparable accuracy would require at least tens of thousands of rays. So, the quasi-analytical method is strongly recommended, unless the details of the radiation distribution on the receiver are required.

However, the method has a serious drawback: it seems to work only for perfect systems. In the next subsection, it will be shown how to introduce errors.

#### 4.2.2. Tracking and Slope Errors

The most straightforward way to deal with slope errors (and random tracking errors too, on average, as shown in Section 2.3) would be the use of a degraded sun, as is often conducted: instead of a pillbox sun (or other accurate models of the sun radiation distribution), a fictitious angular distribution is used that reproduce the dispersion of rays due to errors. However, one can spot a serious flaw in this reasoning when applied to the exposed method, and it is the fact that the active part  $A_n$  of a mirror is computed considering some effects that are *not* significantly altered by the slope/tracking errors, such as the shadowing due to the receiver or to other mirrors, and some effects (blocking, missed receiver) that are indeed affected by the errors (in fact, all the quantities are slightly affected by tracking errors, since the change in the position of the mirrors can slightly shift the shadows; however, this effect is negligible w.r.t. the change of direction of the reflected ray, which is the only truly relevant effect.).

Two strategies to tackle the problem can be devised:

1. Sampling of the  $\chi_n$ : repeat the computation for a perfect system on a suitable large sample of different sets of  $\chi_n$ , introducing a random deviation  $\delta_n$  of the  $\chi_n$  angles. The set of  $\delta_n$  must be chosen with a standard deviation that is the combined standard deviation of transversal slope errors and random tracking errors. Unfortunately, a quite large sample is required to obtain accurate results.
2. Blurred mirrors: perform the computation as for a perfect system, but when computing  $A_n$  for the mirror  $n$  takes the average on a Gaussian distribution of  $\chi_n$ , with a standard deviation corresponding to the combination of random tracking and transversal slope errors.

Here, is the description of the two procedures:

##### Sampling of $\chi_n$

This is the most simple and direct strategy: repeat the computation many times introducing each time a random deviation of the set of  $\chi_n$ , with a Gaussian distribution and a standard deviation corresponding to the quadratic sum of the random tracking error and of the transversal slope error. The average of the various computations is the desired result. Unfortunately, the number of computations required to obtain the results with a

good accuracy can be quite high and the computational weight of this method is heavy. Note, however, that this method remains faster than ray tracing, as shown by direct tests.

### Blurred Mirrors

This method has an intermediate computational burden in-between the previous two and guarantees anyway the correct separation of the effects that are affected by slope and tracking errors from the ones that are not. When computing  $A_n$  to obtain  $I_{coll}$ , the result for each mirror should be weighted with a Gaussian distribution of the mirror inclination around its mean value  $\chi_n$  given by (8). In such a method, the interplay between the tracking errors of the different mirrors is lost (the contribution of the mirror  $n$  is computed supposing that all the others are in their correct positions), but this causes only a minor shift of the border of the shadow or of the blocked region (well below a millimeter for 1-m wide mirrors with a tracking error of 2 mrad).

The method is implemented as follows: when considering the computation of  $A_n$  for a given mirror  $n$ , indicating the value obtained for an inclination  $\chi_n + \delta$  as  $A_n|_{\delta}$ , the average  $A_n$  is obtained as the integral of  $A_n|_{\delta}$  on a Gaussian distribution in  $\delta$ . The integration on a Gaussian distribution can be performed numerically using the Gauss–Hermite integration method [152]:

$$\int_{-\infty}^{+\infty} g(x)e^{-t^2} dt \approx \sum_{k=1}^M p_k g(t_k), \quad (51)$$

where the  $t_k$  are the roots of the Hermite polynomial of degree  $M$  and the weights  $p_k$  are obtained from a formula involving the Hermite polynomial of degree  $M - 1$ ; in practice, tables of values of  $(t_k, p_k)$  are easily available for many values of  $M$ . With a suitable change of variable, the average  $A_n$  can be obtained as

$$A_n \approx \frac{1}{\sqrt{\pi}} \sum_{k=1}^M p_k A_n|_{\sqrt{2}\sigma t_k}. \quad (52)$$

The value of  $\sigma$ , in this case, is the combined standard deviation of the slope transversal error and of the random tracking error, i.e., the square root of the sum of the variances. So, if  $M$  integration nodes are used,  $M$  different values of  $A_n|_{\delta}$  should be computed for each mirror, at  $\delta$  corresponding to the Gauss–Hermite integration nodes, and  $A_n$  is then computed as the weighted average.

The average  $A_n$  obtained—one for each mirror—is then used in (47) to compute  $I_{coll}$ , and then the analysis follows as if the system had no defect, with a pillbox sun, as shown in Section 4.2.1. This second method is probably the best procedure, with a choice of a small sample of  $M$  integration nodes; the computational cost w.r.t. the computation for a perfect system is increased by a factor  $M$ , and remains far lower than the computational weight of ray-tracing or of the sampling of  $\chi_n$ , while retaining an excellent accuracy.

Note that neither of the two methods is suitable for analyzing the effects of longitudinal slope errors; however, the effect of longitudinal slope errors on total efficiency is far smaller than the effect of transversal errors, and usually negligible.

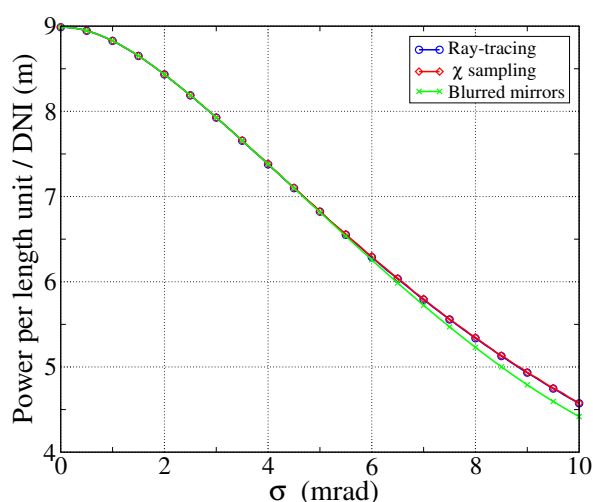
## 5. Comparison and Possible Integrations between Ray-Tracing and Quasi-Analytical Methods

Comparison tests between the exposed procedures have been made, regarding accuracy and computational time. LFR structure, mirror focal length and sun position are chosen in order to have a strong shadowing and blocking effect, and to have a quite large radiation cone on the receiver, in order to amplify the effect of errors. Ten integration nodes are used for Gauss–Hermite quadrature, in the blurred mirrors method. For ray-tracing tests, regular uniform distribution of the starting point of the solar beams was chosen, together with a random angular distribution of the rays within each solar beam, to reproduce solar divergence: this is a compromise between a full Monte Carlo ray-tracing [104], which would be significantly slower, and a fully discretized method with regular distribution of

rays in the solar cone (faster, but with possible systematic errors). For both the ray-tracing and the  $\chi$ -sampling methods, the number of rays or  $\chi$  values was chosen in order to obtain a numerical accuracy of 0.1% on the results.

Extensive tests showed that, for perfect systems, ray-tracing and quasi-analytical analysis gave the same results (when numerical convergence is achieved, of course). This is an expected result since ray tracing can be seen, so to speak, as a numerically expensive method to find the active part of the mirrors. Only in the presence of errors do the methods present real differences in the modeling procedure, and discrepancies can arise.

Figure 5 shows the results of the different methods in the presence of errors, separately considering the  $\chi$ -sampling and the blurred mirror methods. One can see that the sampling of  $\chi_n$  gives the same results as ray tracing even in the presence of large errors: the two methods can be considered equivalent even in the presence of errors. The blurred mirror method is also in excellent agreement for combined errors up to 6 mrad; then a slight discrepancy appears, but it remains within a few % in all the ranges under consideration (up to 10 mrad). So, the blurred mirrors method should be perfectly adequate for all the errors one can encounter in a real plant of acceptable quality.



**Figure 5.** Comparison among the two methods for introducing errors in the quasi-analytical computation and a full ray-tracing simulation. The configuration of the LFR and the sun position are chosen in order to have strong shadowing and blocking effects.

The tests were also used to compare the computational time of the methods: results are given in Table 1, normalized w.r.t. the time required for an error-free system with the quasi-analytical method, whose analysis does not require sampling of errors. Two different tests with  $\sigma$  values of 2 and 5 mrad were performed. From the table, one can see that the blurred mirrors method requires a computational time that is 2–3 orders of magnitude lower than the time required by ray tracing, and it is around 10 times the time required by the computation for perfect systems (an expected result, since 10 integration nodes were used). The  $\chi$ -sampling method is faster than ray-tracing, but only of a factor 2 or 3, since achieving a numerical accuracy of 0.1% on the result requires a large sample of  $\chi_n$  values. So, the recommended method for a truly fast simulation is the blurred mirrors method.

Of course, if slope/tracking errors are negligible w.r.t. the solar divergence, the method of election is the quasi-analytical method for perfect systems.

**Table 1.** Comparison of the computational time of different computational methods, when including slope and tracking errors. Results are normalized to the computational time required by the quasi-analytical method for a perfect system.

Strategy	$\sigma = 0$ mrad	$\sigma = 2$ mrad	$\sigma = 5$ mrad
Quasi-analytical (no errors)	1	—	—
Ray-tracing	4104	14,693	31,269
Quasi-analytical ( $\chi$ sampling)	—	6144	10,614
Quasi-analytical (blurred mirrors)	—	11.1	8.5

It should also be noted that, even if the two methods—ray-tracing and quasi-analytical—are presented in this review as separate methods, some of the computational techniques exposed for the quasi-analytical method can be employed in a ray-tracing simulation to speed up the computation, obtaining hybrid methods. Below are listed possible integrations of a ray-tracing simulation with techniques exposed in the quasi-analytical analysis:

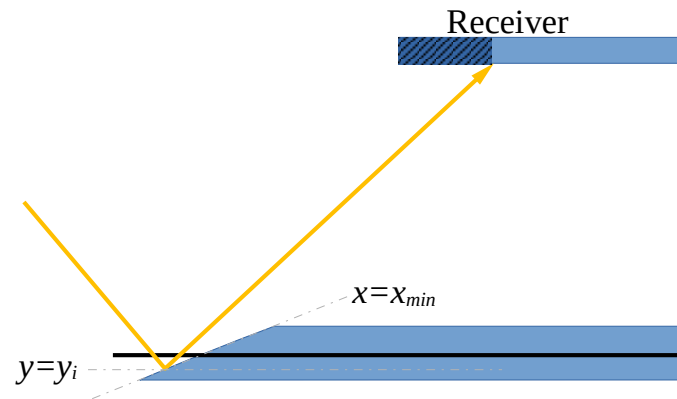
- No-blocking conditions (32) and (33) can of course be checked before a ray-tracing simulation, excluding the blocking computation for mirrors that do not suffer from blocking;
- The projection of the sun profile on  $\gamma_{\odot}$  (i.e., the approximation  $\gamma \approx \gamma_{\odot}$  within the solar disk), and the following application of (49) and of the (50) quadrature rule, can also be adopted in ray-tracing, significantly reducing the number of rays that are necessary to reproduce the solar divergence (of course, the analysis of longitudinal slope errors is not possible in this case);
- Relations for the inactive part of a mirror can be used to exclude from ray-tracing analysis the rays that fall within these parts (when computing inactive parts, the extremal rays of the solar cone must be considered).

## 6. End Effects

The analysis presented so far neglects end effects. This is a correct assumption except when a receiver section is close to an extremity of the receiver, in which case the collected radiation can be significantly lowered by end effects.

The mechanism is very simple and it is illustrated in Figure 6. Consider rays reflected by a mirror on a line at coordinate  $y_i$ : even if the line belongs to the active region of the mirror, i.e., it is not shadowed and the reflected rays from  $y_i$  are not blocked and hit the receiver aperture in  $yz$  section, it is not guaranteed that the rays would hit the receiver over all the receiver length. Indeed—setting  $x = x_{min}$  at the beginning of the mirror field, as in the figure—for a given ray direction the ray hitting the edge  $x = x_{min}$  of the receiver will be sent at a coordinate  $x = x_e(y_i)$ , and only the part of the receiver with  $x > x_e(y_i)$  will receive radiation from  $y_i$ . The same can happen at the other extremity of the receiver. So, given a section of the receiver with  $x = x_c$ , the collected radiation can be lower than the radiation computed with the previously illustrated procedures, or even 0. In this section, three approaches to compute and simulate end effects will be illustrated:

- a quick estimation, which is useful to correct the radiation collected by a receiver string by subtracting end effects, but does not supply information on the radiation collected in a given receiver section;
- a method for integrating the end effects analysis in the ray-tracing approach, slightly modifying the procedure described in Section 3;
- a method for integrating the end effects analysis in the quasi-analytical approach presented in Section 4, modifying the computation of  $A_n$  for collimated radiation.



**Figure 6.** Illustration of end effects. The shadowed part of the receiver does not receive radiation from  $y_i$ .

6.1. Quick Estimation

A very quick—but quite accurate—estimation of the radiation loss due to end effects for the whole collector can be devised. Suppose that the mirror field extends from  $x_{min}$  to  $x_{max}$  in the  $x$  direction and that the receiver extends from  $x_{r1}$  to  $x_{r2}$  (the receiver can be shifted or reduced/extended in order to optimize the collection, so one cannot assume that the receiver is placed exactly in  $[x_{min}, x_{max}]$  and a more general case must be considered). Three approximations are made:

- all the rays have the same  $\gamma = \gamma_{\odot}$ ;
- for a mirror  $n$ , the “representative ray” directed from  $y = y_n, z = 0$  to  $y = 0, z = H$  (from the center of the mirror to the center of the receiver) is chosen to compute the  $x$  shift of the radiation;
- mirror defects are neglected.

Under these three hypotheses, the  $x$  component of the direction vector of the ray is not altered by the mirror reflection (longitudinal slope errors are 0, so  $\hat{n}_x = 0$ ).

Consider the extremity  $x = x_{min}$  of the solar field. A mirror  $n$  will send the radiation—under the three approximations above—at

$$x_{e1} = x_{min} - \sqrt{H^2 + y_n^2} \tan \gamma_{\odot}. \tag{53}$$

So, a portion of the receiver of length

$$s_{e1} = \min(0, x_{e1} - x_{r1}) \tag{54}$$

will not receive radiation from mirror  $n$ .

The reasoning is the same at the extremity  $x = x_{max}$ , leading to

$$x_{e2} = x_{max} - \sqrt{H^2 + y_n^2} \tan \gamma_{\odot} = x_{e1} + x_{max} - x_{min}, \tag{55}$$

and the portion of the receiver that cannot receive radiation from mirror  $n$  has the length

$$s_{e2} = \min(0, x_{r2} - x_{e2}). \tag{56}$$

So, the contribution of mirror  $n$  to the collected radiation must be reduced by a factor

$$S_n = (s_{e1} + s_{e2}) / (x_{r2} - x_{r1}). \tag{57}$$

In order to obtain a general formula for the whole mirror field, somewhat rougher approximations are needed. A quick-and-dirty estimation can be made considering the con-

tribution of the mirrors as proportional to their widths: so, if  $W = \sum_{n=1}^N w_n$ , the reduction factor due to end effects is

$$S^{(1)} = \frac{\sum_{n=1}^N S_n w_n}{\sum_{n=1}^N w_n}. \quad (58)$$

Another quick-and-dirty formula can be obtained assuming that the mirrors have “nominal orientation” ( $\alpha_{\odot} = 0$ , hence  $\chi_n = \theta_n/2$ ). In this case,

$$S^{(2)} = \frac{\sum_{n=1}^N S_n w_n \cos(\theta_n/2)}{\sum_{n=1}^N w_n \cos(\theta_n/2)}. \quad (59)$$

A slightly more refined approximation—which still excludes shadowing and blocking effects—can be obtained by introducing a dependence on  $\alpha_{\odot}$ :

$$S^{(3)}(\alpha_{\odot}) = \frac{\sum_{n=1}^N S_n w_n \cos\left(\frac{\theta_n - \alpha_{\odot}}{2}\right)}{\sum_{n=1}^N w_n \cos\left(\frac{\theta_n - \alpha_{\odot}}{2}\right)}. \quad (60)$$

More accurate descriptions could be devised by accurately computing the contribution of each mirror via ray-tracing or other methods, in the absence of end effects, and then weighing the  $S_n$  on the contributions. If mirror  $n$  gives a contribution  $I_n(\alpha_{\odot}, \gamma_{\odot})$  to the radiation on the receiver opening, the formula is

$$S^{(4)}(\alpha_{\odot}, \gamma_{\odot}) = \frac{\sum_{n=1}^N S_n I_n(\alpha_{\odot}, \gamma_{\odot})}{\sum_{n=1}^N I_n(\alpha_{\odot}, \gamma_{\odot})}. \quad (61)$$

## 6.2. End Effects in Ray-Tracing

The previous subsection supplied formulas for estimating the end losses on a whole collector. Now, it will be shown how to analyze a specific section of the receiver with  $x = x_c$ , via ray tracing, in order to obtain the radiation collected locally. One can then choose different values of  $x_c$  to study the variation of the collected radiation along the receiver length.

The analysis proceeds exactly as in Section 3, with an additional final check introduced on each ray.

In (18), only  $y$  and  $z$  were considered; the  $x$  component is

$$x = x_i + \hat{r}_x t. \quad (62)$$

The component  $\hat{r}_x$  should have already been computed from (15), or it can easily be obtained as  $\hat{r}_x = \sqrt{1 - \hat{r}_y^2 - \hat{r}_z^2}$ .

Let us suppose that the ray is reflected by the section  $x = x_{min}$  of the mirror field, so  $x_i = x_{min}$ . If, at the end of the analysis of Section 3, the ray hits the receiver, the  $x$  coordinate at which the receiver is intercepted is given by

$$x_{e1} = x_{min} + \hat{r}_x \sqrt{\frac{(H - z_i)^2 + (y_t - y_i)^2}{1 - \hat{r}_x^2}}. \quad (63)$$

The coordinates  $y_i$ ,  $z_i$  and  $y_t$  have already been computed:  $y_i$  and  $z_i$  are given by (13), and  $y_t$  by (21). If the ray is reflected by section  $x = x_{max}$  of the mirror field, the  $x$  coordinate is simply

$$x_{e2} = x_{e1} + x_{max} - x_{min}. \quad (64)$$

The part of the receiver that receives radiation from the mirror field line at  $y = y_i$  is comprised of  $x_{e1}$  and  $x_{e2}$ .

To summarize, including end effects in ray-tracing is very simple: at the end of the analysis of a ray, performed as illustrated in Section 3, compute  $x_{e1}$  and  $x_{e2}$  with

Formulas (63) and (64); if  $x_c$  is not in the interval  $[x_{e1}, x_{e2}]$ , discard the contribution of the ray.

### 6.3. End Effects in the Quasi-Analytical Method

End effects on a section  $x = x_c$  of the receiver can be introduced in the quasi-analytical method as well. In the analysis exposed in Section 4, the basic tool was the procedure to obtain the active section  $A_n$  of a mirror under collimated radiation. The active part was obtained intersecting four parts of the mirror: the part not shadowed by the receiver, the part not shadowed by other mirrors, the part not suffering from blocking and the part that sends radiation within the target. In order to consider end effects, one can compute a fifth part of the same mirror, which should be intersected with the others: the part of the mirror that contributes to the radiation collected at the section  $x = x_c$  of the receiver.

Consider a ray reflected from the point  $d_i^{(n)}$  of mirror  $n$ . The  $y$  coordinate of the intersection of the ray with the plane containing the receiver aperture is given by Formula (46). From the value of  $y_H(d_i^{(n)})$ , one can compute the  $x$  coordinate at which a ray reflected by the point at  $x_i = x_{min}$  intersects the receiver, as:

$$x_{e1}(d_i^{(n)}) = x_{min} - \sqrt{(H - d_i^{(n)} \sin \chi_n)^2 + (y_H(d_i^{(n)}) - y_n - d_i^{(n)} \cos \chi_n)^2} \tan \gamma, \quad (65)$$

and the  $x$  coordinate at which a ray reflected from  $x = x_{max}$  intersects the receiver aperture is

$$x_{e2}(d_i^{(n)}) = x_{e1}(d_i^{(n)}) + x_{max} - x_{min}. \quad (66)$$

One should then find the region of the mirror for which it holds  $x_{e1}(d_i^{(n)}) \leq x_c \leq x_{e2}(d_i^{(n)})$ : this is the fifth region that should be intersected with the others in order to find the active region when end effects are considered. The region can be obtained numerically by computing all the solutions of the two equations  $x_c = x_{e1}$ ,  $x_c = x_{e2}$ . The solutions will divide the width of the mirror into several intervals, and then one can verify if each interval is active or not.

This may appear to be a laborious task, but in fact, it is rarely required: a simple preliminary check can ensure that a mirror is free from end effects—or that it is entirely inactive due to end effects—considering that, for the already-computed active part of the mirror (from Section 4), it holds  $-l_r \leq y_H(d_i^{(n)}) \leq l_r$ . So, one can devise a simple procedure to make sure that a mirror is unaffected—or entirely inactivated—by end effects:

1. Find the maximum distance  $D_{max}^{(n)}$ , in the section  $yz$ , between a point  $d_i^{(n)}$  of mirror  $n$  and a point of the receiver ( $z = H$ ,  $-l_r \leq y \leq l_r$ );
2. Find the minimum distance  $D_{min}^{(n)}$ , in the section  $yz$ , between a point  $d_i^{(n)}$  of mirror  $n$  and a point of the receiver ( $z = H$ ,  $-l_r \leq y \leq l_r$ );
3. Case  $\gamma \geq 0$ : if  $x_{min} - D_{min}^{(n)} \tan \gamma \leq x_c \leq x_{max} - D_{max}^{(n)} \tan \gamma$ , then mirror  $n$  is free from end effects;
4. Case  $\gamma \geq 0$ : if  $x_{min} - D_{max}^{(n)} \tan \gamma > x_c$  or  $x_{max} - D_{min}^{(n)} \tan \gamma < x_c$ , then mirror  $n$  is completely inactive due to end effects;
5. Case  $\gamma < 0$ : if  $x_{min} - D_{max}^{(n)} \tan \gamma \leq x_c \leq x_{max} - D_{min}^{(n)} \tan \gamma$ , then mirror  $n$  is free from end effects;
6. Case  $\gamma < 0$ : if  $x_{min} - D_{min}^{(n)} \tan \gamma > x_c$  or  $x_{max} - D_{max}^{(n)} \tan \gamma < x_c$ , then mirror  $n$  is completely inactive due to end effects.

Such checks can significantly reduce the computation time since it is likely that at most two mirrors are partially affected by end losses; the more external mirrors will probably be entirely inactivated, and the more internal mirrors will probably be free from end effects (in fact, these checks can be made at the beginning of the analysis for a mirror  $n$ , in order to save work in case the mirror is completely inactivated by end effects).

In order to perform these preliminary checks one has to find the maximum and minimum distances  $D_{max}^{(n)}$  and  $D_{min}^{(n)}$  between two segments (receiver and mirror in plane  $yz$ ).

One can easily find the maximum distance  $D_{max}^{(n)}$ , since it will be either the distance from the left edge of the receiver to the right edge of the mirror or vice-versa. Both distances can be easily computed and the longer one can be chosen.

The minimum distance  $D_{min}^{(n)}$  can be easily identified in the following four cases:

- $\chi_n \leq 0$  and  $y_n - w_n \cos \chi_n \geq l_r$ : the minimum distance is between the right edge of the receiver and the left edge of the mirror;
- $\chi_n \geq 0$  and  $y_n - w_n \cos \chi_n - (H + w_n \sin \chi_n) \tan \chi_n \geq l_r$ : the minimum distance is between the right edge of the receiver and the left edge of the mirror;
- $\chi_n \geq 0$  and  $y_n + w_n \cos \chi_n \leq -l_r$ : the minimum distance is between the left edge of the receiver and the right edge of the mirror;
- $\chi_n \leq 0$  and  $y_n + w_n \cos \chi_n - (H - w_n \sin \chi_n) \tan \chi_n \leq -l_r$ : the minimum distance is between the left edge of the receiver and the right edge of the mirror.

In other cases—which will likely involve only a few mirrors around  $x = 0$ —one can consider all the *possible* minimum distances and choose the least, with the following procedure:

- Compute the two projections  $p_{\pm}^{(n)}$  of the two edges of the receiver (at  $y = \pm l_r$ ) on the plane containing the mirror  $n$ .
- If  $p_+^{(n)}$  lies within the mirror width, compute the distance between the right edge of the receiver ( $y = +l_r$ ) and  $p_+^{(n)}$ : this is a possible minimum distance.
- If  $p_-^{(n)}$  lies within the mirror width, compute the distance between the left edge of the receiver ( $y = -l_r$ ) and  $p_-^{(n)}$ : this is a possible minimum distance.
- Compute the two projections  $q_{\pm}^{(n)}$  of the two edges of the mirror  $n$  on the plane containing the receiver (these will simply be  $y = y_n \pm w_n \cos \chi_n, z = H$ ).
- If  $q_+^{(n)}$  lies within the receiver width, compute the distance between the right edge of the mirror and  $q_+^{(n)}$  (that is,  $H - w_n \sin \chi_n$ ): this is a possible minimum distance.
- If  $q_-^{(n)}$  lies within the receiver width, compute the distance between the left edge of the mirror ( $y = -l_r$ ) and  $q_-^{(n)}$  (that is,  $H + w_n \sin \chi_n$ ): this is a possible minimum distance.
- Compute the four distances between the two edges of the receiver and the two edges of the mirror (left-left, left-right, right-left, right-right): these are four possible minimum distances.
- Among the possible minimum distances found so far (of which there will be a number between 4 and 8), choose the shortest one.

## 7. Examples of Simulations

In order to show examples of the possible studies and simulations that can be performed with the illustrated methodologies, results on two real-life cases will be presented. The two plants under consideration are the Partanna plant [99], in Italy, whose geometrical properties used in these examples are summarised in [134] and a facility installed in the Green Energy Park in Ben Guerir (Morocco), whose geometrical properties are summarised in [107]. Only the geometrical aspects of the plant optics are considered, i.e., the reflectance of the mirrors is considered to be equal to 1, and independent of the incidence angle. So, the computed efficiency is a purely geometrical efficiency, and it is higher than the real efficiency by a factor of about (mirror reflectivity  $\times$  receiver optical efficiency). The geometric efficiency is given as (collected radiation)/(DNI  $\times$  total mirror area). The results shown here are obtained with the blurred mirror quasi-analytical method, but no significant differences were found w.r.t other methods, the combined random error being lower than 3 mrad. The computations were performed using C++ software written for the purposes of the present work (see Supplementary Materials).

Figure 7 shows the dependence of the efficiency on the angle  $\alpha_{\odot}$ . One can clearly see the effect of the receiver shadowing: when the shadow is projected entirely on a mirror the efficiency is lower, when it is partially projected on the gap between two mirrors the effect is reduced and the efficiency increases, producing oscillations up to values of  $\alpha_{\odot}$  of about 45–50 deg. Such oscillations are about 2.4% (Partanna) and 3.6% (Ben Guerir), indicating a non-negligible effect of the receiver shadowing. For larger  $\alpha_{\odot}$ , the receiver shadow is projected outside the mirror field, and the prevailing effects are mirror shadowing and cosine effect. Figure 8 shows the dependence of the efficiency on the angle  $\gamma_{\odot}$ . Since no optical dependencies on incidence angles are introduced, the curve is essentially a cosine factor, except for very large incidence angles.

A test on the possible factorization of the efficiency as a product of two single-variable efficiencies, depending on  $\alpha_{\odot}$  and  $\gamma_{\odot}$ , respectively, can be made by comparing the simulated efficiency at  $(\alpha_{\odot}, \gamma_{\odot})$  with the product of the efficiencies at  $(\alpha_{\odot}, 0)$  and  $(0, \gamma_{\odot})$  (Figures 7 and 8), divided by the normal incidence efficiency. The analysis shows, for both examples, a maximum deviation below 0.3%, and a standard deviation below 0.04% (one should consider, however, that the computed efficiency is purely geometrical, and a complete analysis for a specific system, including the dependence of optical properties on incidence angles, should be made to verify the quality of the possible factorizations).

Specific aspects of the optics can be studied by modifying the geometry of the plants and observing the variation in efficiency. In order to highlight blocking effects, the spacing factor of the mirrors (i.e., the size of the gap between two mirrors w.r.t. the mirror size) was changed from 0 to 0.5, for normal radiation incidence, in order to avoid mirror shadowing. Results are shown in Figure 9. Strong blocking—for nearly adjacent mirrors—is responsible for an optical loss of 5–6%. In order to study also the effect of shadowing,  $\alpha_{\odot} = 45$  deg is assumed. Figure 10 shows that a strong shadowing—combined with blocking—can cause a loss of 10–12% of optical efficiency. These computations illustrate, in a very simple case, a possible procedure for a parametric optimization with the considered parameter being the spacing factor.

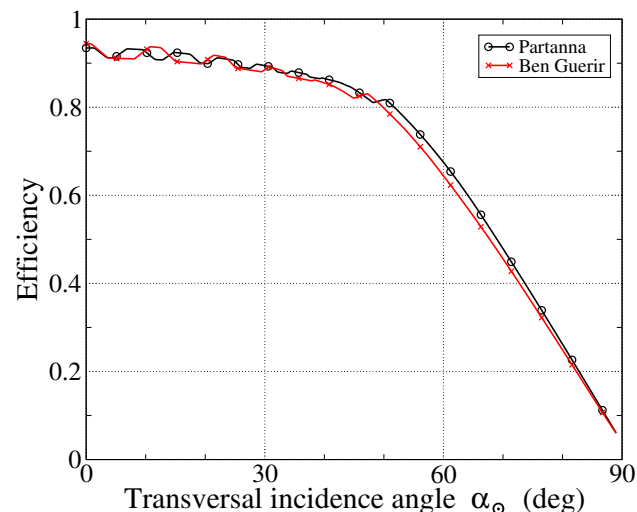


Figure 7. Geometrical efficiency of the two LFR taken as examples, in dependence of  $\alpha_{\odot}$ .

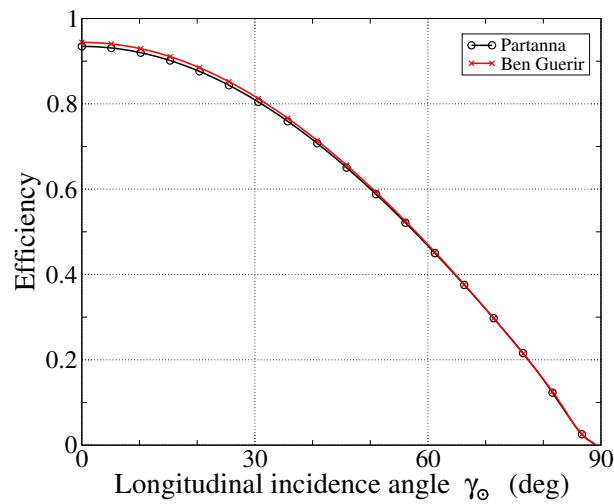


Figure 8. Geometrical efficiency of the two LFR taken as examples, in dependence of  $\gamma_{\odot}$ .

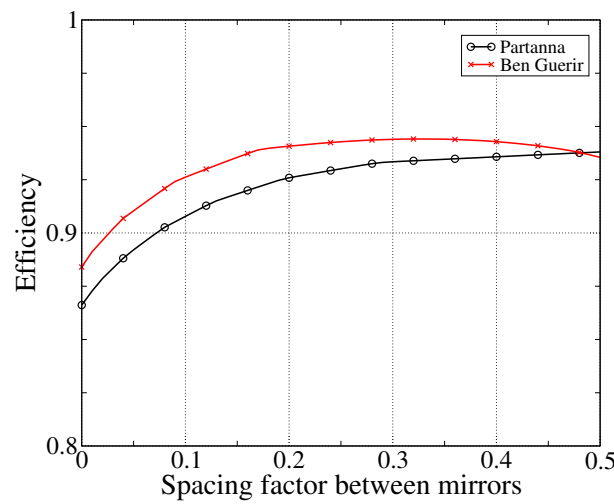


Figure 9. Geometrical efficiency of the two (modified) LFR taken as examples, in dependence of the spacing factor between mirrors, for normal incidence (blocking in evidence).

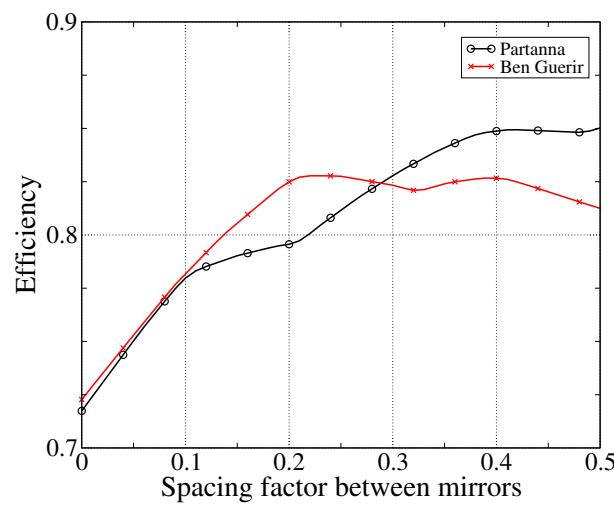


Figure 10. Geometrical efficiency of the two (modified) LFR taken as examples, in dependence of the spacing factor between mirrors, for  $\alpha_{\odot} = 45$  deg (blocking + shadowing in evidence).

## 8. Conclusions

LFRs represent one of the most interesting designs for thermal solar concentrators, and their optical behavior depends mainly on their geometry. So, the analysis of the plant efficiency and of the energy yield cannot be performed without a thorough understanding of the plant geometry and of its effect on the optical efficiency, and it can surely benefit from specialized tools for the simulation of the optical behavior of an LFR. In this review, a summary of the main concepts and methods are presented, to understand the different geometrical aspects of an LFR affecting the optics; the analysis especially regards the mirror field, which—differently from the receiver—can be the subject of a full and quite general analysis. The geometry is responsible for four types of optical losses: shadowing due to the receiver, reciprocal shadowing among the mirrors, blocking and out-of-target reflection. These effects can be simulated by ray tracing, if the accurate distribution of radiation on the receiver is required, or by a significantly faster (but equally accurate) quasi-analytical method, when only the efficiency of the mirror field is concerned. The review supplies methods and useful formulas to build a ray-tracing simulation—following the ray up to the entrance in the receiver aperture—and to compute the efficiency with the faster quasi-analytical method, which is especially recommended for on-the-fly computations or optimization tasks. This review should supply a useful “toolbox” to build reliable simulations of an LFR, without resorting to cumbersome general-purpose optical simulators, or introducing too rough—and often unnecessary—approximations. Such instruments can be a resource for the analysis of the design of a plant, with the goal of optimizing the energy yield or reducing costs.

**Supplementary Materials:** A C++ header file (FresnelOptics.h) containing implementations of the methods described in Sections 3 and 4 of this review will soon be available for download at the website [www.solaritaly.enea.it](http://www.solaritaly.enea.it), in the section Tools (accessed on 20 June 2024), or can be requested by contacting the author. Note that this is a work in progress and comes with no guarantees. Bug reports and suggestions are welcome.

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## Abbreviations and Symbols

The following abbreviations and symbols are used in this manuscript. All the angles appearing in formulas are assumed to be measured in radians.

CFD	Computational Fluid Dynamics
CPV	Concentrating Photovoltaic
DNI	Direct Normal Irradiation
GAX	Generator-absorber heat exchange
IAM	Incidence Angle Modifier
LFR	Linear Fresnel concentrator
PTC	Parabolic Trough Collector

PV	Photovoltaic
PV/T	Photovoltaic / Thermal
SEV	South-East-Vertical reference frame
$a$	Sun angular radius
$A_n$	Width of the active part of the $n$ th mirror
$c_{n\psi}$	$\cos(\psi_n)$
$D_{min}^{(n)}, D_{max}^{(n)}$	Min. / max. distance between the receiver and the mirror $n$ , in $yz$ projection
$d^{(n)}$	Local coordinate on the $n$ th mirror in $yz$ section
$d_{\pm}^{(n)}$	$d^{(n)}$ of projection of receiver shadow
$d_b^{(n)}$	$d^{(n)}$ of intersection for blocking
$d_i^{(n)}$	$d^{(n)}$ of intersection between a ray and a mirror
$d_s^{(n)}$	$d^{(n)}$ of projection of other mirrors' shadow
$f_n$	Inverse of focal length of the $n$ th mirror
$H$	Height of the LFR receiver (in local frame)
$\hat{\mathbf{i}}$	Incident ray versor
$I_{coll}$	Collected power for length unit, for collimated radiation
$l_r$	Semi-width of the effective receiver aperture
$l_s$	Semi-width of the effective receiver shadowing plane
$L$	Width of the origin of rays for ray-tracing
$M$	Number of integration nodes
$N$	Number of primary mirrors
$n$	Progressive number identifying a mirror ( $n = 1, \dots, N$ )
$\hat{\mathbf{n}}$	Versor orthogonal to the mirror
$\hat{n}_x$	$x$ component of $\hat{\mathbf{n}}$
$N_{rays}$	Number of shot rays in ray-tracing
$p_{\pm}^{(n)}$	Projections of the two edges of the receiver ( $\pm l_r$ ) on the plane containing a mirror
$p_k$	Weights associated to integration nodes
$q_{\pm}^{(n)}$	Projections of the two edges of a mirror on the plane $z = H$
$\hat{\mathbf{r}}$	Reflected ray versor
$\hat{r}_x$	$x$ component of $\hat{\mathbf{r}}$
$\hat{r}_y$	$y$ component of $\hat{\mathbf{r}}$
$\hat{r}_z$	$z$ component of $\hat{\mathbf{r}}$
$S_n$	Correction factor to a mirror contribution for end effects
$S^{(i)}$	Global correction factor for end effects, for all the mirror field ( $i$ : different approximations)
$s_{e1}$	Initial fraction of inactive mirror for end effects
$s_{e2}$	Final fraction of inactive mirror for end effects
$t_k$	Standard integration nodes
$w_n$	Semi-width of the $n$ th mirror
$x_0$	Starting $x$ of a ray in ray-tracing
$x_c$	$x$ coord. of a $yz$ section of the receiver (when considering end effects)
$x_{e1}$	$x$ coord. at the receiver of a ray reflected from $x = x_{min}$
$x_{e2}$	$x$ coord. at the receiver of a ray reflected from $x = x_{max}$
$x_{min}, x_{max}$	The mirror field extends in $x$ direction between the two
$x_{r1}, x_{r2}$	The receiver extends in $x$ direction between the two
$y_{\pm}$	$y$ coord. of projection of receiver shadow
$y_0$	Starting $y$ of a ray in ray-tracing
$y_b$	$y$ of intersection for blocking
$y_H(d_i^{(n)})$	$y$ of intersection with the receiver plane of a ray reflected at $d_i^{(n)}$
$y_i$	$y$ of intersection between a ray and a mirror
$y_n$	$y$ coord. of the axis of the $n$ th mirror
$y_s$	$y$ of intercept between a ray and the shadowing plane
$y_t$	$y$ of intercept between a reflected ray and the receiver
$z_0$	Starting $z$ of a ray in ray-tracing
$z_i$	$z$ of intersection between a ray and a mirror
$\alpha$	Transversal incidence angle

$\alpha_{\odot}$	$\alpha$ of the Sun center
$\alpha_k$	Integration nodes in $\alpha$
$\Gamma_l$	Local Azimuth (in $xyz$ frame)
$\Gamma_s$	Global Azimuth (in SEV frame)
$\gamma$	Longitudinal incidence angle, or simply incidence angle
$\gamma_{\odot}$	$\gamma$ of the Sun center
$\delta_n$	Random deviation of $\chi_n$ due to tracking error
$\zeta$	Longitudinal incidence angle (alternative definition)
$\zeta_{\odot}$	$\zeta$ of the Sun center
$\eta_{rec}$	Optical efficiency of the receiver
$\theta_n$	Auxiliary angle (see Figure 1)
$\xi$	Auxiliary angle
$\rho$	Mirror reflectivity
$\sigma$	Standard deviation of slope + tracking errors
$\varphi_l$	Local Zenith (in $xyz$ frame)
$\varphi_{l,\odot}$	Local Zenith of the Sun center
$\varphi_s$	Global Zenith (in SEV frame)
$\chi_n$	Inclination of the $n$ th mirror
$\psi_n$	Incidence angle on the plane of mirror $n$
$\Omega_1$	Azimuth of the focal line in SEV frame
$\Omega_2$	Inclination of the focal line w.r.t horizontal plane
$\Omega_3$	Third rotation angle
$\Omega_i$	Inclination of local $y$ -axis w.r.t. horizontal plane

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